

# Serum Albumin Level Can Predict Immunotherapy Response of Neuromyelitis Optica Spectrum Disorders in the Acute Phase

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**Background:** Neuromyelitis optica spectrum disorder (NMOSD) is an autoimmune demyelinating disease of the central nervous system. However, few biomarkers have been found to predict the outcome of immunotherapy. We investigated the relationship between the serum albumin (S-Alb) and response to immunotherapy in acute NMOSD patients.

**Methods:** A total of 107 consecutive Chinese patients with acute NMOSD diagnosed between January 2013 and January 2022 were included in our prospective observational study. S-Alb was measured by the use of bromocresol green and immunoturbidimetric methods on admission. The immunotherapy response was assessed by the percentage change in the expanded disability status scale (EDSS) score from admission to discharge after treatment. We evaluated the association between S-Alb and immunotherapy response through multivariate logistic regression analysis.

**Results:** S-Alb levels were significantly lower in patients who were resistant to immunotherapy than in those who were responsive to treatment ( $p<0.001$ ). S-Alb levels were positively related to a favorable response to immunotherapy ( $r=0.386$ ,  $p<0.001$ ). The odds ratio (95% CI) for the association between S-Alb level and response to immunotherapy was 1.27 (95% CI=1.08, 1.50;  $p=0.004$ ) after adjusting for potential factors. ROC analysis showed that patients with S-Alb levels lower than 40.85 g/L were likely to be resistant to immunotherapy.

**Conclusion:** Our study indicated that a higher S-Alb was an independent indicator of response to immunotherapy in acute NMOSD patients.

**Keywords:** immune diseases, NMOSD, immunotherapy, albumin, multivariate analysis

## Introduction

Neuromyelitis optica spectrum disorder (NMOSD) is an autoimmune demyelinating disease of the central nervous system, which is characterized by recurrent longitudinal extensive myelitis (LETM) and optical neuritis (ON).<sup>1,2</sup> NMOSD was once thought to be a subtype of multiple sclerosis (MS). Since the discovery of immunoglobulin against aquaporin-4 (also known as AQP4-IgG), a water channel mainly located on astrocytes in the brain, NMOSD has been confirmed to be a distinct entity.<sup>3</sup> Acute attacks can cause severe morbidity or can even be fatal. Therefore, reducing the severity of acute attacks in NMOSD patients is essential.

The most common treatment for acute NMOSD is a high-dose corticosteroid, typically intravenous methylprednisolone (IVMP).<sup>4</sup> Intravenous immunoglobulin (IVIG) and plasma exchange (PLEX) are also treatment choices for patients who respond poorly to the steroid therapy.<sup>2,4</sup> Only few studies have evaluated clinical factors that may be related to a favorable outcome of treatment with PLEX, such as a short-delay to initiation and a less severe attack.<sup>5-7</sup> However, few biomarkers have been found to predict the outcome of immunotherapy.

Serum albumin (S-Alb) is an inherent drug delivery platform with a long circulatory half-life.<sup>8</sup> Recent studies have revealed its neuroprotective effects, such as acting as a target for oxidation and nitration reactions and reducing the production of reactive oxygen and reactive nitrogen species in the CNS.<sup>9</sup> Clinically, S-Alb is a prognostic factor in various autoimmune diseases in the CNS, such as autoimmune encephalitis<sup>10</sup> and Guillain-Barré syndrome (GBS).<sup>11</sup> We hypothesized that S-Alb levels may be a predictor of the response to immunotherapy in acute NMOSD patients. In this study, we aimed to determine the serum level of albumin in patients with NMOSD and its association with the outcome of immunotherapy in the acute phase.

## Methods

### Design

This was a prospective observational study designed to explore the relationship between the S-Alb and immunotherapy response in acute NMOSD patients. Consecutive patients were enrolled in our study from Renji Hospital in China from January 2013 to January 2022, and patient data were recorded in the NMOSD Registry Database of the hospital. The cerebrospinal fluid (CSF) and blood samples were collected before the administration of any corticosteroids or other immunosuppressive therapy. The study conforms to the precepts of the World Medical Association Declaration of Helsinki. Our study was approved by the Ethics Committee of Renji Hospital. Written informed consent was obtained from all the included patients. Our data are available upon request due to privacy/ethical restrictions.

### Study Population

Patients were diagnosed with NMOSD according to the criteria defined by Wingerchuk et al.<sup>12</sup> The inclusion criteria were as follows: (1) Patients who were diagnosed with NMOSD (2) receiving IVMP treatment in the acute phase. The exclusion criteria were as follows: (1) incomplete information (gender, symptoms, EDSS score, S-Alb and details of treatment); (2) receiving the initial dose of IVMP less than 0.5g /d during the hospitalization; (3) receiving other treatments for the acute phase, such as cyclophosphamide; (4) having severe hepatic or renal insufficiency before treatment; (5) having malignant tumors.

### Clinical and Laboratory Data Collection

The clinical information, including age, gender, clinical features (age at first attack, disease duration, total number of attacks), lesion distribution [optic neuritis (ON), myelitis, longitudinally extensive transverse myelitis (LETM), length of spinal lesion, characteristic brain lesions on MR images] and treatment data (initial dose of IVMP, total dose of IVMP, IVIG, and adverse effects), was collected during hospitalization. The adverse effects identified in our study focused on immunotherapy-related adverse effects, such as infection, allergy, gastrointestinal bleeding and abnormal laboratory results (such as elevated transaminase levels and hypokalemia).

Fasting venous blood samples were collected upon admission, and S-Alb was measured via the use of bromocresol green and immunoturbidimetric methods upon admission. Blood samples were also collected to measure routine blood indices and blood biochemical indicators, including serum data [serum status of AQP4-IgG, blood white blood cell (WBC), alanine transaminase (ALT), and blood urine nitrogen (BUN)].

The expanded disability status scale (EDSS) score before treatment was checked at the time of sampling, and the EDSS score after treatment was recorded at the time of discharge. We defined resistance to treatment as no change in EDSS score or no improvement in neurological examination results or function and response to treatment as improvement in EDSS score or resolution of primary symptoms (such as area postrema syndrome) during hospitalization.<sup>13</sup>  $\Delta \text{EDSS}\% = (\text{EDSS at admission} - \text{EDSS at discharge}) / \text{EDSS at hospitalization}$ .

All patients were administered immunotherapy during their hospitalization at an initial IVMP dose of 1 g/d or 0.5 g/d, followed by a reduction to a half dose every 3 days until oral administration of 60 mg of prednisone per day, guided by the Guidelines for diagnosis and treatment of neuromyelitis in China.

Disease duration refers to the period from the time of the current attack to the time of sampling. Characteristic brain lesions in NMOSD refer to increased signals on T2-weighted MRI sequences according to the diagnostic criteria.<sup>12</sup> We

defined NMOSD relapse as the occurrence of new or worsening symptoms accompanied by neurological signs and the presence of new or enhanced correlated MRI lesions. The interval should be at least 30 days since the previous relapse. AQP4-IgG antibodies were tested in all patients using a cell-based assay.

## Statistical Analysis

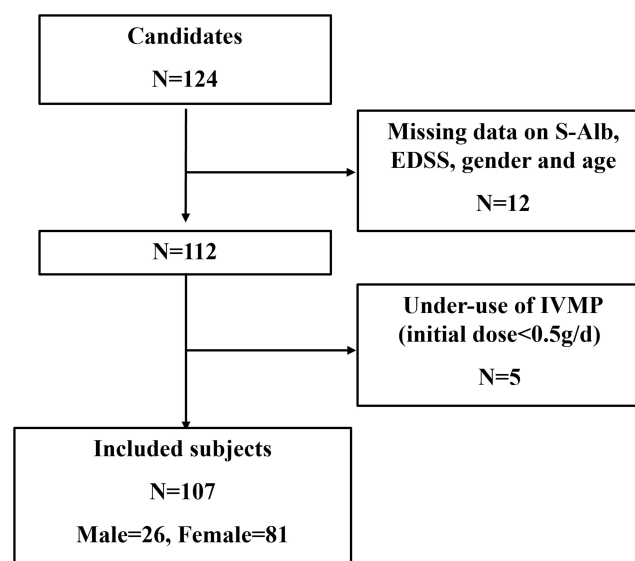
The data analysis was performed using SPSS 25.0 software (SPSS, Inc., Chicago, IL, USA). The Kolmogorov–Smirnov Z-test was used to verify the normality of the data. The patients in our study were grouped according to immunotherapy response assessed by the percentage difference in EDSS score between admission and discharge after treatment. Categorical variables were presented as counts (percentage) and continuous variables were presented as the means (standard error, SE) for normal data or medians (interquartile ranges, IQRs) for nonnormal data. Student's *t*-test and Mann–Whitney *U*-test were applied for normally and nonnormally distributed data, respectively. Pearson's correlation and Spearman's rank correlation coefficients were used to evaluate correlations between different clinical parameters for normal and nonnormal data, respectively. Multivariate logistic regression analysis was used to detect the independent factors predictive of the response to immunotherapy after adjusting for known confounders. A receiver operating characteristic (ROC) curve was used to identify the optimal cutoff value of S-Alb level for predicting the response to immunotherapy. A two-tailed probability value  $< 0.05$  was considered significant.

## Results

### Basic Characteristics

A total of 124 consecutive candidates were recruited for the study at the time of the final survey in January 2022. Among these candidates, those who had missing data related to S-Alb, EDSS, gender and age were excluded from the eligible candidates for this study ( $n=12$ ). Those who underused IVMP (initial dose  $< 0.5$  g/d) ( $n=5$ ) were also excluded from the pool of eligible candidates for this study. As a result, a total of 107 subjects were included in the final analyses. A flowchart of the study is shown in Figure 1.

The baseline characteristics of all subjects are presented in Table 1. Among the 107 patients with NMOSD, 75.7% were female ( $n=81$ ). The ages ranged from 15 to 81 years, with a mean age of  $48.51 \pm 14.91$  years. The mean EDSS score was  $4.64 \pm 2.38$  at sampling. The S-Alb levels varied from 29.9 to 49.9 g/L, with a mean S-Alb of  $41.04 \pm 4.30$  g/L. S-Alb levels were significantly lower in patients who were resistant to immunotherapy than in those who were responsive to treatment ( $p < 0.001$ ). This difference was also observed in patients treated with IVMP ( $39.11 \pm 4.26$  g/L versus  $42.65 \pm 3.71$  g/L,  $p < 0.001$ ) (Figure 2).



**Figure 1** Flowchart of the study.

**Table I** Baseline Characteristics of Subjects

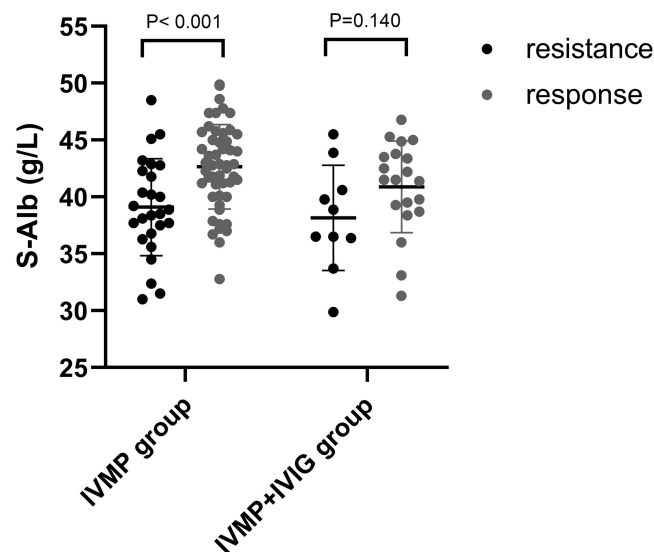
Index	Total (n=107)	Resistance to Treatment (n=39)	Response to Treatment (n=68)	P-value
Basic information				
Female	81 (75.7%)	26 (66.7%)	55 (80.9%)	0.099
Age (year)	48.51 (14.91)	54.38 (14.14)	45.15 (14.38)	0.002
History				
Age at onset	44.62 (16.14)	50.85 (15.61)	41.04 (15.44)	0.002
Current duration (day)	15.00 (7.00–30.00)	17.00 (7.00–33.00)	14 (7.00–30.00)	0.140
First attack	29 (27.1%)	12 (30.8%)	17 (25.0%)	0.518
Numbers of episodes	2.00 (1.00–4.00)	2.00 (1.00–4.00)	2.00 (1.00–4.00)	0.533
Combined with other autoimmune diseases	39 (36.4%)	12 (30.8%)	27 (39.7%)	0.355
EDSS scores	4.64 (2.38)	5.55 (2.31)	4.12 (2.27)	0.002
Blood indicators				
WBC ( $10^{12}/L$ )	8.40 (4.25)	9.67 (5.48)	7.66 (3.15)	0.041
Neutrophils (%)	73.39 (13.52)	75.93 (12.53)	71.89 (13.95)	0.141
CRP (mg/L)	0.50 (0.26–1.60)	0.72 (0.45–2.14)	0.50 (0.17–1.20)	0.037
ALT (U/L)	15.00 (11.00–27.70)	16.10 (11.00–28.00)	15.00 (10.00–23.00)	0.385
AST (U/L)	16.93 (7.17)	17.70 (8.99)	16.49 (5.89)	0.402
BUN (mmol/L)	5.71 (4.11)	5.80 (1.68)	5.66 (5.03)	0.871
SCr ( $\mu$ mol/L)	57.87 (33.36)	53.70 (14.66)	60.30 (40.38)	0.329
AQP4-IgG positive	90 (84.1%)	32 (82.1%)	58 (85.3%)	0.659
Albumin (g/L)	41.04 (4.30)	38.57 (4.43)	42.46 (3.53)	<0.001
ANA positive	44 (41.1%)	20 (51.3%)	24 (35.3%)	0.093
CSF indicators				
CSF cell count (/L)	3.50 (0.00–11.00)	5.00 (1.00–11.00)	1.00 (0.00–7.00)	0.421
CSF albumin (mg/L)	371.50 (286.50–537.25)	394.50 (263.75–567.50)	370.50 (294.00–535.50)	0.867
Qalb	7.05 (4.75–9.90)	7.20 (4.80–10.90)	6.90 (4.60–9.90)	0.459
IgG index	0.57 (0.17)	0.55 (0.09)	0.59 (0.21)	0.334
IgG (mg/L)	40.50 (23.80–56.80)	44.75 (24.65–68.30)	40.20 (23.50–55.75)	0.663
Lesion distribution				
Spinal cords	72 (67.3%)	32 (82.1%)	40 (58.8%)	0.014
Spinal lesion length	3.00 (0.00–7.00)	5.00 (1.00–9.00)	2.00 (0.00–5.00)	0.009
Optic nerve	42 (39.3%)	18 (46.2%)	24 (35.3%)	0.294
Cranial lesions	37 (34.6%)	12 (30.8%)	25 (36.8%)	0.530
Treatment				
Initial dose of IVMP (1g/d)	40 (37.4%)	12 (30.8%)	28 (41.2%)	0.259
Total dose of IVMP (g)	4.26 (1.55)	4.26 (1.49)	4.27 (1.59)	0.995
Plus IVIG	30 (28.1%)	13 (33.33%)	17 (25.0%)	0.248
Adverse effects	41 (38.3%)	15 (38.5%)	26 (38.2%)	0.982

**Note:** IgG index = (CSF IgG/S – IgG)/(CSF Alb/S – Alb).

**Abbreviations:** WBC, white blood cell; CRP, C-reactive protein; ALT, Alanine transaminase; AST, aspartate aminotransferase; BUN, blood urine nitrogen; SCr, serum creatinine; ANA, antinuclear antibody; CSF, cerebrospinal fluid protein; Qalb, cerebrospinal fluid albumin/serum albumin ratio; IgG, immunoglobulin G.

However, in patients treated with IVMP+IVIG, S-Alb levels were greater in those who responded to treatment ( $38.17 \pm 4.63$  g/L versus  $40.97 \pm 2.97$  g/L,  $p=0.140$ ) but the difference was not statistically significant (Figure 2).

The serum AQP4-IgG was positive in 90 patients (84.1%). Twenty-nine patients were experiencing their first attack (27.1%), while 78 had relapsed (72.9%). Forty-two patients (39.3%) had ON, 72 patients (67.3%) had myelitis, among whom 58 patients (54.20%) had LETM, and 37 patients (34.6%) had characteristic brain lesions on cranial MR images. All NMOSD patients were treated with intravenous methylprednisolone (IVMP), and 30 were also treated with intravenous immunoglobulin (IVIG), among whom 68 patients responded to treatment. The median total disease duration was 15 days (IQR, 7–30 days).



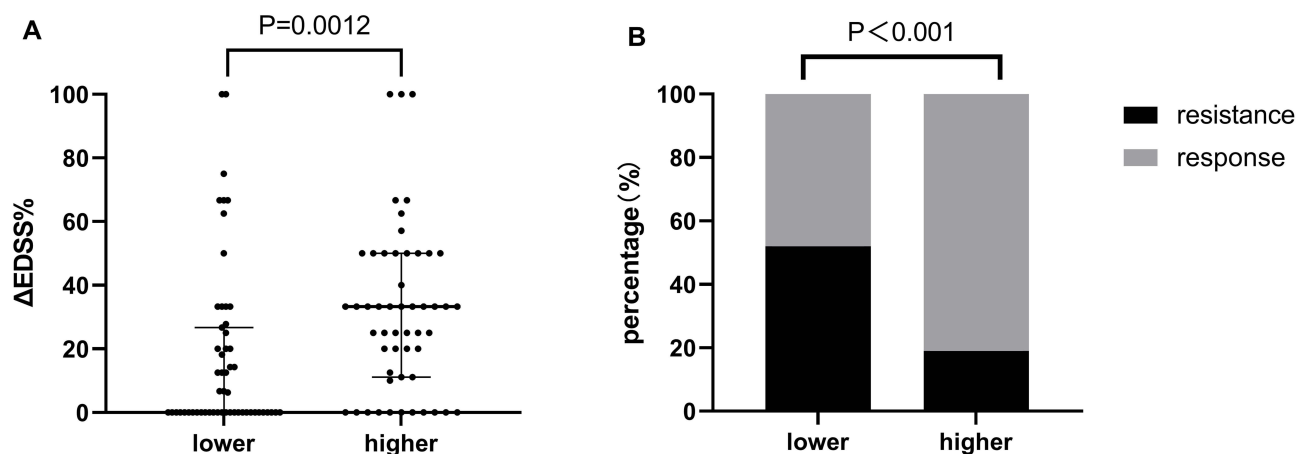
**Figure 2** Levels of S-Alb according to responses to immunotherapy in IVMP and IVMP+IVIG groups.

### Comparison of the Response to Treatment According to the S-Alb Level

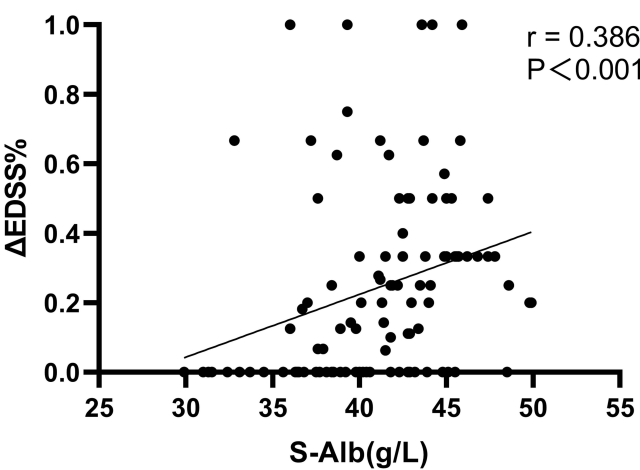
The  $\Delta$ EDSS% was significantly greater in the higher S-Alb subgroup (0% versus 33.33%,  $p=0.0012$ ) (Figure 3A). Among all the patients, 52.73% and 19.23% were resistant to immunotherapy in the lower and higher S-Alb groups, respectively ( $p<0.001$ ) (Figure 3B).

### Relationship Between S-Alb Level and Response to Treatment

The Spearman correlation coefficient (95%) for the correlation between S-Alb level and response to immunotherapy was 0.386 ( $p<0.001$ ) (Figure 4). The odds ratios (95% CI) for the association between S-Alb level and response to immunotherapy were 1.29 (1.14–1.45,  $p<0.001$ ) for all patients and 1.21 (1.07, 1.37,  $p=0.002$ ) for the AQP4-IgG positive patients. After multivariate analysis was performed for age, gender, length of spinal lesions, EDSS score, WBC count and CRP concentration, the odds ratios (95% CI) were 1.27 (1.08–1.50,  $p=0.004$ ) and 1.24 (1.04–47,  $p=0.015$ ) for the total patients and AQP4-IgG-positive patients, respectively, which showed that S-Alb was an independent factor of immunotherapy outcomes. The OR (95%) showed a grade increase according to the S-Alb tertiles by trend analysis ( $p=0.005$ ) (Table 2).



**Figure 3** Clinical outcomes by S-Alb groups. (A) The  $\Delta$ EDSS% is significantly higher in the higher S-Alb group (0% versus 33.33%,  $p=0.0012$ ). (B) Incidence of resistance to immunotherapy were 52.73% and 19.23% in the lower and higher S-Alb group in whole patients, respectively ( $p<0.001$ ).



**Figure 4** Relationship between S-Alb and immunotherapy response.

In this model, the odds ratios (95% CI) of the other covariates were as follows. Female, 2.51 (0.72–8.77),  $p=0.15$ ; age (per year), 0.96 (0.92–0.99),  $p=0.03$ ; length of spinal lesion, 0.89 (0.78–1.01),  $p=0.078$ ; WBC count, 0.86 (0.75–0.98),  $p=0.03$ ; EDSS score, 0.925 (0.72–1.19),  $p=0.55$ ; and CRP levels, 1.03 (0.939–1.12),  $p=0.57$ .

ROC Analysis to Identify the Optimal Cutoff Value of the S-Alb Level

The ROC curve for the ability of S-Alb level to predict the response to immunotherapy in patients with NMOSD is shown in Figure 5. The cutoff value of the S-Alb level was 40.85 g/L with a sensitivity of 72.1%, a specificity of 71.8%, and an AUC of 0.751 (0.653–0.850) ( $p < 0.001$ ).

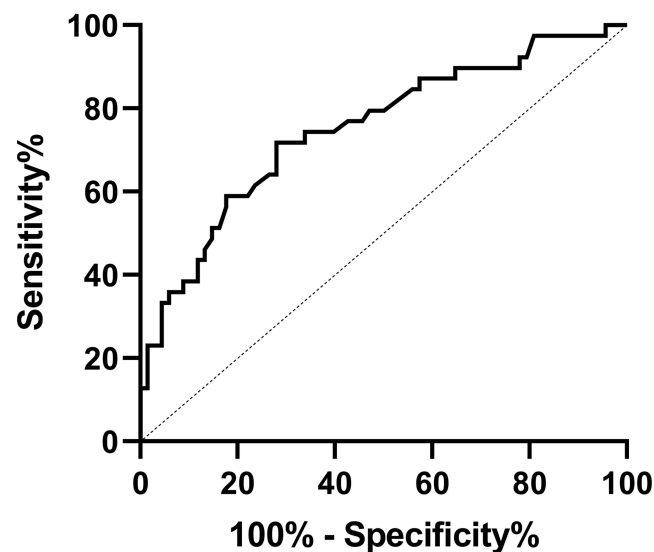
Discussion

Our study revealed that patients who responded to immunotherapy had higher S-Alb levels and that S-Alb levels are associated with the response to immunotherapy in acute NMOSD attacks. In addition, patients with higher S-Alb levels had greater improvements in EDSS score. Furthermore, the association between S-Alb levels and response to immunotherapy was independent after adjustment for confounding risk factors. Therefore, these results demonstrated that a higher S-Alb level is a prognostic factor for a favorable outcome in acute NMOSD patients. Furthermore, ROC analysis revealed that immunotherapy was more likely to fail in patients with an S-Alb level less than 40.85 g/L. In practice,

**Table 2** Association Between S-Alb and Response to Immunotherapy

	Model 1 (Unadjusted)		Model 2 (Adjusted)	
	N	OR (95% CI) p	N	OR (95% CI) p
Alb (continuous) Total	107	1.29 (1.14, 1.45) <0.001	107	1.27 (1.08, 1.50) 0.004
AQP4-IgG positive	90	1.21 (1.07, 1.37) 0.002	90	1.24 (1.04, 1.47) 0.015
AQP4-IgG negative	17	-	17	-
Alb (categorical)	107		107	
Tertile 1 (29.9–39.3)g/L	36	Ref	36	Ref
Tertile 2 (39.5–42.9)g/L	35	3.94 (1.46, 10.64) 0.007	35	6.20 (1.69, 22.71) 0.006
Tertile 3 (43.0–49.9)g/L	36	8.21 (2.73, 24.67) <0.001	36	7.00 (1.65, 29.54) 0.008
p for trend		<0.001		0.005

Notes: Model 1: unadjusted. Model 2: adjusted for gender, age, EDSS score, length of spinal lesion, WBC, CRP.



**Figure 5** ROC analysis to identify the optimal cutoff value of S-Alb levels. The cutoff value of the S-Alb level was 40.85g/L with a sensitivity of 72.1% and a specificity of 71.8%, as well as an AUC of 0.751 (0.653–0.850) ( $p < 0.001$ ).

clinicians usually use their own experience to judge whether or when to use a second-line therapy. This study provides evidence for clinicians to identify potential nonresponders earlier. A short delay to some second-line therapies, such as PLEX, has proven to be more beneficial<sup>5</sup> than other therapies; therefore, detecting nonresponders to IVMP or IVIG may help to initiate a more aggressive treatment in the early stage.

S-Alb, which is most abundant in human blood, is a single polypeptide chain of 585 amino acids with various ligand-binding sites.<sup>14</sup> The affinity of this protein for the neonatal Fc receptor (FcRn), which also interacts with immunoglobulin, enables its long circulation half-life of 19 days.<sup>8,15</sup> Therefore, albumin is an inherent drug transporter and a significant factor for the pharmacokinetics of drugs due to its abundance and stability. Corticosteroids have been confirmed to bind to albumin<sup>16</sup> and this reversible combination could buffer fluctuations in the concentrations of steroids and their free fractions.<sup>17</sup> Currently, albumin binding or fusion is the dominant strategy for extending half-life of a material.<sup>18</sup> Studies have revealed that S-Alb nanoparticles increased the effectiveness of MPs mostly by improving their absorption.<sup>19,20</sup> Our study is the first to demonstrate the association between S-Alb and the response to immunotherapy, including IVMP and IVIG. We assumed that a higher albumin concentration provides more binding sites for methylprednisolone to prolong its half-life and facilitates its distribution, leading to a more favorable prognosis in the short term.

In other pathological conditions, low albumin levels are associated with poor prognosis in GBS patients and patients with autoimmune encephalitis treated with IVIG. These studies also revealed that some patients developed a decrease in the serum albumin concentration after the use of IVIG and had a poor prognosis.<sup>10,11</sup> The level of Albumin is thought to be able to reflect the recycling capacity of the protein, for example, the expression of FcRn and immunoglobulin pharmacokinetics. Additionally, the exhaustion of FcRn by IVIG may explain the reduction of albumin. However, our study did not provide supporting evidence for these studies. On the one hand, IVMP is now regarded as a first-line therapy, while IVIG is now an add-on treatment with for which data are scarce. Patients who choose to use IVIG are limited. On the other hand, our study focused on the short-term efficacy. A long-term follow-up is needed in the future.

In addition to its drug delivery ability, S-Alb also has multiple clinical value, such as maintaining colloid osmotic pressure, acting as an antioxidant and removing free radicals.<sup>21</sup> Recent studies revealed the neuroprotective role of albumin. Albumin scavenges ROS and RON as a target for oxidation and nitration reactions.<sup>22</sup> The upregulation of oxidative stress has been studied in NMO pathogenesis.<sup>23</sup> S-Alb can extravasate via the broken blood-brain barrier during the pathogenesis of NMOSD and decrease local oxidation and nitration, thereby alleviating tissue damage.<sup>9</sup> Therefore, a high S-Alb could be a protective factor against severe disease. The S-Alb is also a well-known predictor of



the prognosis in many acute or severe conditions.<sup>24,25</sup> Hypoalbuminemia is correlated with poor clinical outcomes. A meta-analysis revealed that when S-Alb exceeds 30 g/L complication rates may be reduced in acutely ill patients.<sup>26</sup> In a recent study by Shi et al, NMOSD patients were divided into mild/moderate disability and severe disability groups according to their EDSS score, and some of the patients were in remission.<sup>27</sup> The results demonstrated that total bilirubin was an independent factor that influenced the severity of disability. Besides, albumin was closely related to NMOSD severity. We grouped NMOSD patients according to their response to immunotherapy, and the patients were in the acute phase. Our study indicated that a higher level of S-Alb was an independent indicator of the response to immunotherapy in acute NMOSD patients. The results of our study, combined with the findings of Shi et al, could better reflect the role of albumin in the pathophysiology of NMOSD.

Our current study has a few limitations. First, the prospective study included data from one single center, a large number of patients need to be included in the future, especially patients treated with other therapies. Second, our study concluded that S-Alb is a predictor of the short-term outcome of immunotherapy, so a long-term follow-up is needed to determine the role of S-Alb in immunotherapy. Finally, the EDSS score, which is used for the evaluation of disease severity, is primarily used in MS. Therefore, some specific symptoms of NMOSD, such as area postrema syndrome, were not included in the scoring.

## Conclusion

This study concluded that higher S-Alb levels could be considered a predictor of a favorable short-term outcome in NMOSD patients during the acute phase. However, further studies with long-term follow-up and larger sample sizes are needed.

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## Disclosure

The authors report no conflicts of interest in this work.

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