#### ORIGINAL RESEARCH

## Mortality Among Low Birth Weight Neonates on Parenteral Feeding: A Prospective Follow-Up Study

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**Background:** Low birth weight (LBW) neonates face a significantly higher risk of complications and mortality compared to those with normal birth weight. This risk is particularly pronounced in low-income countries, where access to quality health care and adequate nutrition is limited. However, there is insufficient data on mortality for LBW neonates while receiving parenteral feedings. Therefore, this study was aimed to investigate the incidence and determinants of mortality among admitted LBW neonates receiving parenteral feeding at Neonatal Intensive Care Units (NICUs) in selected hospitals in Addis Ababa.

**Methods:** A prospective follow-up study was conducted in selected hospitals' NICU from March to June 2022. Data were collected using a structured questionnaire and checklist, and analyzed using STATA software. The assumption for survival analysis was assessed using Kaplan–Meier survival curves and a global test. Bi-variable and multivariable Cox regression analyses were performed to identify determinants of mortality. A p-value of less than 0.05 was used to declare as a significant predictor.

**Results:** Two hundred eighty-nine neonates with their indexed mothers were enrolled for a total of 2242 days. During this follow-up time sixty-six neonates were died, making the incidence rate of mortality among LBW neonates 29.438 per 1000 person-day. Birth weight less than 1000 grams (AHR: 9.539; 95% CI: 2.272, 40.038), admission Apgar score of three or less (AHR: 5.894 95% CI: 1.320, 26.315), edematous malnutrition (AHR: 3.389; 95% CI: 1.355, 8.474) and not initiated trophic feeding (AHR: 7.324; 95% CI: 3.453, 15.532) were identified as a significant predictors for neonatal mortality.

**Conclusion:** This study revealed a high incidence of mortality among low birth weight (LBW) neonates who received parenteral feeding. Furthermore, factors such as birth weight, trophic feeding initiation, low Apgar score, and edematous malnutrition were identified as predictors for mortality among LBW neonates who received enteral feeding. Therefore, future studies should prioritize optimizing enteral feeding practices and enhancing the quality of care provided to LBW neonates.

Keywords: neonatal mortality, low birth weight, parenteral feeding, neonatal feeding, survival status

#### Introduction

Globally, an estimated 14.7% of all babies born were low birth weight (LBW) in 2020,<sup>1,2</sup> with one-third of these LBW neonates born in Africa, majority in Eastern and Western Africa. To address this, World Health Assembly has set a nutrition target to reduce low birth weight by 30% between 2012 and 2030.<sup>3</sup> However, progress in reducing low birth weight prevalence has been slow or lacking across all regions, with the most significant decrease occurring in South Asia (only 4.5% over 20 years, from 29.4% to 24.9%).<sup>1–4</sup>

Neonatal mortality is a significant concern worldwide.<sup>5,6</sup> Estimates from the World Health Organization (WHO) indicated that neonatal mortality rate in Sub-Saharan African countries is approximately 28 deaths per 1000 live births, nearly 1 in 36 newborns dies within the first 28 days of life.<sup>3,4</sup> Various factors contribute to the high neonatal mortality rates in the region, including limited access to quality healthcare services, poverty, inadequate nutrition, infectious diseases, and social determinants of health.<sup>7–9</sup>

Low birth weight neonates specifically have an incomparably high risk of mortality than normal weight counterparts.<sup>5,6</sup> Low birth weight accounts for approximately 60–80% of neonatal deaths, highlighting its substantial impact on neonatal

mortality rates. It is not death alone, survivors of LBW also face lifelong consequences, including a higher risk of stunted growth, lower intelligence quotient (IQ), and adult-onset chronic conditions, such as obesity and diabetes.<sup>2–4</sup>

Limited access to quality prenatal care, healthcare facilities, and adequate nutrition in low- and middle-income countries contributes to the high burden of LBW-related mortality, which is multifactorial among LBW neonates.<sup>4</sup> LBW have immature digestive system, feeding intolerance, a risk of aspiration while feeding, and they need specialized and individualized nutrition. These challenges makes the healthcare providers to encounter several difficulties in managing the transition of LBW neonates to the extra uterine life.<sup>10</sup>

Improving the health of low birth weight neonates and reducing their mortality rates in Sub-Saharan African countries requires a comprehensive approach. This includes strengthening healthcare systems, increasing access to quality prenatal care, promoting skilled birth attendance, enhancing neonatal resuscitation skills, ensuring access to essential newborn care, and addressing social determinants of health such as poverty and education.<sup>2–4,11</sup> Additionally, mothers need to prioritize balanced nutrition and rest, receive adequate antenatal care, avoid smoking and limit alcohol consumption, refrain from taking un-prescribed medications, avoid exposure to toxins, prevent infections, ensure appropriate interpregnancy spacing, and seek genetic counselling as needed.<sup>9,12–15</sup>

There has been no previous study on mortality among low birth weight neonates receiving parenteral feeding. However, in Ethiopia, the magnitude of neonatal mortality among admitted neonates in general has been reported to range from 13% to 18.6%.<sup>16–19</sup> Additionally, the incidence of neonatal mortality among preterm neonates in Black-Lion Hospital was found to be 39.1 per 1000 neonate-days.<sup>20</sup> Various maternal factors such as antepartum hemorrhage,<sup>16</sup> pregnancy-induced hypertension,<sup>16,21,22</sup> multiple pregnancy,<sup>16,23</sup> place of delivery,<sup>16,21</sup> premature rupture of membranes,<sup>20,22</sup> antenatal care,<sup>17,23</sup> maternal diabetes mellitus<sup>20</sup> were identified. Factors related to the neonates include LBW,<sup>16,17,22</sup> perinatal asphyxia,<sup>16,17,22,23</sup> neonatal sepsis,<sup>16,17,20,22</sup> respiratory distress,<sup>20,22</sup> prematurity,<sup>17,18,20,21,23</sup> hypothermia,<sup>18,21</sup> low Apgar score,<sup>20,23</sup> meconium aspiration syndrome,<sup>22</sup> and admission blood sugar level.<sup>23</sup>

Given the scarcity of data on neonatal mortality rates among low birth weight neonates, particularly those receiving parenteral feeding in the NICU, both globally and locally, and majority of the available studies on neonates in general have been retrospective in nature. Therefore, the main objective of this prospective follow-up study was to investigate the incidence and determinants of neonatal mortality among LBW neonates receiving parenteral feeding in selected referral hospitals' NICUs. The prospective nature of the study and its focus on parenteral feeding, makes this study crucial for understanding the factors contributing to their mortality, guiding healthcare professionals in making informed decisions, improve survival rate, and reduce mortality among these neonates. Additionally, the results can contribute to the development of targeted interventions aimed at reducing mortality rates in this vulnerable population when they are on parenteral feeding.

## **Method and Materials**

#### Study Area and Period

Institution-based prospective follow up study was conducted from March to June 2022 in six randomly selected public hospitals with NICU in Addis Ababa City, Ethiopia. The city has eleven public hospitals equipped with NICU. Of these hospitals, Gandhi Memorial Hospital, Zewditu Memorial Hospital, Menelik II Referral Hospital, St Peter Specialized Hospital, St' Paul's Hospital Millennium Medical College, and Tikur Anbessa Specialized Hospital were selected by lottery method.

#### Source Population

The source population were all LBW neonates admitted to NICU in public hospitals of Addis Ababa.

#### Study Population

All selected LBW neonates receiving parenteral feeding and their respective caretakers in the selected six public hospitals during the study period.

## Eligibility Criteria

All admitted LBW neonates receiving parenteral feeding in selected hospital's to NICU during the study period were included. Neonates with major congenital cardiac disease, GI defect and those neonates diagnosed with necrotizing enterocolitis by the most senior physician were excluded.

#### Sample Size Determination

The sample size was calculated using Epi-Info software version 7.2.5.0. For the first objective (incidence), a calculation was performed using  $25.07^{24}$  proportion (p), 80% power, and 95% confidence level, resulting in a sample size of 289. For the second objective (determinant factors), the sample size was calculated using the double population proportion formula, considering the following assumptions: p1 = 35.2, p2 = 14.4 and a risk ratio of 2.144. This consideration yielded a sample size of 224. Therefore, the larger sample size (289) was taken.

## Sampling Procedure

Initially, all the eleven public hospitals with NICU in Addis Ababa were identified, and six of them (50%) were selected using a lottery method. Subsequently, the total study population was estimated based on the previous three months' data retrieved from the admission logbook (340 low birth weight neonates admission per month), and proportional allocation was carried out for each hospital based on the case flow. All eligible LBW neonates admitted to NICU and their indexed mothers in the selected hospital within the study period were included consecutively until we reached the predetermined quota. From the total participants, 59 (20.4%) were from Tikur Anbesa Specialized Hospital, 43 (14.9%) from Zewditu Hospital, 57 (19.7%) from Gandhi Memorial Hospital, 38 (13.1%) from Menelik II referral Hospital, 38 (13.1%) from St. Peter Hospital, and 54 (18.7%) from St Paul Millennium medical College. During the follow-up three month there were 1128 low birth weight neonates admitted.

## **Operational Definitions**

#### Neonate

A newborn infant, or neonate, is a child under 28 days of age.<sup>1</sup>

Low Birth Weight Babies who weighs less than 2500 grams at birth.<sup>1,4</sup>

Very Low Birth Weight Babies who weighs less than 1500 grams at birth.<sup>1,4</sup>

#### Parenteral Feeding

A newborn who received all of his/her need of fluid, and/or nutrition or any supplementation parenterally.

#### Full Enteral Feeding

Newborn infants receive all of their nutrition as milk feeds (either human milk or formula) enteral through tube feeding or orally and do not receive any supplemental parenteral fluids or nutrition.<sup>1,4</sup>

#### Time to Event

Time from birth of an LBW infant to death.

#### Censored

LBW neonates who were no longer under follow-up due to events such as being transferred to another institution or leaving against medical advice, as well as those who did not achieve full feeding before the study concluded (at 28 days).

#### Event

Refers to the occurrence of the outcome of interest (death in LBW neonates).

#### Follow Up Time

Time from recruiting up to either the study subjects died or censored.<sup>25</sup>

#### **Trophic Feeding**

A small volume (12 cc/Kg/d or less) of enteral nutrition insufficient for the neonate's nutritional needs but producing some positive gastrointestinal or systemic benefit.<sup>4,26</sup>

#### Survival Time

The length of time in days followed starting from birth to death or full enteral feeding.

## Data Collection Tools and Procedure

Interviewers administered structured questionnaire and data extraction checklist was used to collect the data. The extraction tool has four components (Socio-demographic variables, prenatal variables, neonatal variables, and management and health service-related variables). It was adapted from previous related studies<sup>1,14,18,19,23,24,27</sup> and modified based on the available data and the care given at the hospital. Six data collectors, one for each hospital, and one supervisor, collect the data and provide supportive supervision throughout the data collection process, respectively.

## Data Quality Control

A structured pre-tested questionnaire was developed and used to collect the data. The pre-test was done on 5% of randomly selected LBW neonates in Ras-Desta Damtew Memorial Hospital. Data like infection prevention practice guideline compliance, incomplete vital sign documentation, specific infectious agents, and family satisfaction with the care were difficult to get. Therefore, necessary correction and modification were made accordingly.

The data collectors had a minimum of BSc in neonatal nursing and a daylong training was given for them. Throughout the data collection period and before data entry we maintained strict and continuous supervision to ensure the validity and consistency of the data, and each questionnaires were examined for consistency and completeness. As a result, we did not encounter any missing data. In cases of inconsistent data, we promptly communicated with the data collectors and cross-checked the information with the medical charts for accuracy. Moreover, double data entry was done.

## Data Processing and Analysis

Data were cleaned, coded, and entered to Epi-Data version 4.6 and exported to STATA version 16 for analysis. Descriptive statistics were done to show the distribution of socio-demographic, prenatal, and neonatal characteristics among participants and indexed mother. The time to death during the follow-up was estimated by Kaplan Meier failure curve. The Log rank test was also employed to compare statistical differences between groups of independent variables for the survival function. The Schoenfeld residual global test for proportionality assumption was checked, and its result (P-value = 0.5964) suggested the satisfaction for the assumption. Variables with P-value < 0.25 in the bi-variable cox-regression analysis were entered into the multivariable analysis. Variance inflation factor was also used to assess multi-collinearity. In multivariable analysis variables having a p-value < 0.05 were considered as predictors of neonatal mortality. Crude and adjusted hazard ratios with 95% CI, were used to show the strength of association with the outcome variable.

In order to determine the most appropriate model, the log-likelihood and Akaike Information Criteria (AIC) were utilized. The model with the lowest AIC value was deemed the best-fitted model. In this study, the Weibull regression model achieved the minimum AIC value of 725.649, thus making it the chosen fitted model. To further assess the goodness of fit, the Cox-Snell residual test was employed. The results of this test indicated that the model closely aligned with the bisector, implying a good fit between the predicted and observed values.

## Result

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Two-hundred eighty-nine LBW neonates receiving parenteral feeding were included in the follow-up. During the followup, two neonates were discharged from the hospital for reasons of discharge against medical advice and referral to other institution (one for each).

## Sociodemographic, Medical, and Obstetric Characteristic of Indexed Mothers

One hundred seventy-three (59.9%) of the indexed mothers' age was between 25 and 35. Sixteen women had no ANC follow-up at all, 127 (43.9%) were primiparous, and 150 (51.9%) mothers gave birth by spontaneous vaginal delivery (Table 1).

| Variables                  | Category                       | Frequency | Percent (%) |
|----------------------------|--------------------------------|-----------|-------------|
| Age                        | ≤24                            | 87        | 30.1        |
|                            | 25–29                          | 110       | 38.1        |
|                            | 30–34                          | 63        | 21.8        |
|                            | ≥35                            | 29        | 10.0        |
| ANC                        | Not at all                     | 16        | 5.5         |
|                            | <4                             | 182       | 63          |
|                            | ≥4                             | 91        | 31.5        |
| Parity                     | Primiparous                    | 127       | 43.9        |
|                            | Multiparous                    | 162       | 56.1        |
| Onset of labor             | Spontaneous                    | 192       | 66.4        |
|                            | Induction                      | 97        | 33.6        |
| Mode of delivery           | Spontaneous Vaginal Delivery   | 150       | 51.9        |
|                            | Caesarean Delivery             | 137       | 47.4        |
|                            | Instrumental                   | 2         | 0.7         |
| Place of delivery          | Hospital                       | 232       | 80.3        |
|                            | Health center                  | 51        | 17.6        |
|                            | Home                           | 6         | 2.1         |
| Obstetric complications    | Pregnancy induced hypertension | 84        | 29.1        |
|                            | Chorioamnionitis               | 10        | 3.5         |
|                            | Premature rupture of Membrane  | 61        | 21.1        |
|                            | Antepartum Hemorrhage          | 16        | 5.5         |
|                            | Gestational Diabetes Mellitus  | 3         | 1.0         |
|                            | Oligo/polyhydramnios           | 10        | 3.5         |
| Antenatal steroid exposure | Yes                            | 99        | 34.3        |
|                            | No                             | 190       | 65.7        |
| Type of pregnancy          | Single                         | 229       | 79.2        |
|                            | Multiple                       | 60        | 20.8        |
| Comorbid illness*          | Yes                            | 54        | 18.7        |
|                            | No                             | 235       | 81.3        |

| Table I Sociodemographic, Medical, and Obstetric Characteristic of Indexed Mothers,   |
|---|
| Whose Neonates Were Admitted in Selected Hospitals of Addis Ababa, Ethiopia (n = 289) |

Notes: \*DM, HTN, Anemia, TB, HIV, COVID 19, Thyroid disorder.

## Characteristics of Participant Neonates Receiving Parenteral Feeding at NICU in Hospitals of Addis Ababa

One hundred fifty-four neonates were male, 168 (58.1%) neonates were delivered at a gestation between 32 and 36 weeks, and 101 (34.9%) weighed 1500 to 1999 grams (Table 2).

## Participant Neonates' Diagnosis and Findings During the Follow-Up

During the follow-up period, certain conditions were identified in a percentage of neonates receiving parenteral feeding at NICU in Addis Ababa hospitals. Hypothermia was detected in 55% of all neonates, while early onset sepsis was diagnosed in 33.6% of the participants. Additionally, hyper-bilirubinemia affected 6.2% of the neonates, and hospital-acquired infections were occurred in 22.8% of the cases (Table 3).

## Incidence of Mortality Among LBW Neonates Receiving Parenteral Feeding in NICU

Two hundred eighty nine neonates who received parenteral feeding were enrolled for a total of 2242 days (15,694 hours), and during these follow-up sixty-six neonates were died. Which makes the incidence rate of mortality among LBW neonates receiving parenteral feeding 0.029438 (95% CI: 0.0231277, 0.03747) per person-day/29.438 per 1000 person-day.

| Variables                          | Categories                         | Frequency | Percent (%) |
|------------------------------------|------------------------------------|-----------|-------------|
| Sex                                | Male                               | 154       | 53.3        |
|                                    | Female                             | 135       | 46.7        |
| Gestational age in completed weeks | Less than 28 weeks                 | 7         | 2.4         |
|                                    | 28–31 weeks                        | 71        | 24.6        |
|                                    | 32–36 weeks                        | 168       | 58.1        |
|                                    | Term                               | 43        | 14.9        |
| Birth Weight in gram               | 2000–2499                          | 93        | 32.2        |
|                                    | 1500–1999                          | 101       | 34.9        |
|                                    | 1000–1499                          | 86        | 29.8        |
|                                    | <1000                              | 9         | 3.1         |
| Age at admission                   | Within I hr.                       | 140       | 48.4        |
|                                    | I–6 hr.                            | 100       | 34.6        |
|                                    | 6–24 hr.                           | П         | 3.8         |
|                                    | After 24 hr.                       | 38        | 13.1        |
| Weight for age                     | Small or large for gestational age | 79        | 27.7        |
|                                    | Appropriate for gestational age    | 209       | 72.3        |
| APGAR score at admission           | I–3                                | 10        | 3.5         |
|                                    | 4-6                                | 62        | 21.5        |
|                                    | >6                                 | 193       | 66.8        |
|                                    | Unknown                            | 24        | 8.3         |

**Table 2** Characteristics of Participant Neonates Admitted at NICU in Selected Hospitals ofAddis Ababa, Ethiopia, 2023 (n = 289)

| Variables                    | Categories | Frequency | Percent (%) |  |
|------------------------------|------------|-----------|-------------|--|
| Hypothermia                  | Yes        | 159       | 55.0        |  |
|                              | No         | 130       | 45.0        |  |
| Respiratory distress         | Yes        | 212       | 73.4        |  |
|                              | No         | 77        | 26.6        |  |
| Early onset sepsis           | Yes        | 97        | 33.6        |  |
|                              | No         | 192       | 66.4        |  |
| Perinatal asphyxia           | Yes        | 32        | 11.1        |  |
|                              | No         | 257       | 88.9        |  |
| Hyper-bilirubinemia          | Yes        | 18        | 6.2         |  |
|                              | No         | 271       | 93.8        |  |
| Meningitis                   | Yes        | 2         | 0.7         |  |
|                              | No         | 287       | 99.3        |  |
| Patent Ductus Arteriosus     | Yes        | 2         | 0.7         |  |
|                              | No         | 287       | 99.3        |  |
| Tracheoesophageal fistula    | Yes        | 8         | 2.8         |  |
|                              | No         | 281       | 97.2        |  |
| Other diagnosis at admission | Yes        | 55        | 19.0        |  |
|                              | No         | 234       | 81.0        |  |
| Follow-up diagnosis          | Yes        | 204       | 70.6        |  |
|                              | No         | 84        | 29.4        |  |
| Hospital acquire infection   | Yes        | 66        | 22.8        |  |
|                              | No         | 223       | 77.2        |  |
| Necrotizing enterocolitis    | Yes        | 17        | 5.9         |  |
|                              | No         | 272       | 94.1        |  |
| Jaundice                     | Yes        | 93        | 32.2        |  |
|                              | No         | 196       | 67.8        |  |
| Apnea                        | Yes        | 31        | 10.7        |  |
|                              | No         | 258       | 89.3        |  |
| Hypothermia                  | Yes        | 14        | 4.8         |  |
|                              | No         | 275       | 95.2        |  |
| Hypoglycemia                 | Yes        | 63        | 21.8        |  |
|                              | No         | 226       | 78.2        |  |

**Table 3** Participant Neonates' Diagnosis and Findings During the Follow-Up at NICU in Selected Hospitals of Addis Ababa, Ethiopia, 2023 (n = 289)

(Continued)

| Variables                              | Categories                 | Frequency | Percent (%) |  |
|--|----------------------------|-----------|-------------|--|
| Thrombocytopenia                       | Yes                        | 90        | 31.1        |  |
|  | No                         | 199       | 68.9        |  |
| Dehydration                            | Yes                        | 102       | 35.3        |  |
|  | No                         | 187       | 64.7        |  |
| Edematous malnutrition                 | Yes                        | 27        | 9.3         |  |
|  | No                         | 262       | 90.7        |  |
| Kidney injury                          | Yes                        | 15        | 5.2         |  |
|  | No                         | 274       | 94.8        |  |
| Fluid and electrolyte imbalance        | Yes                        | 34        | 11.8        |  |
|  | No                         | 255       | 88.2        |  |
| Anemia                                 | Yes                        | 34        | 11.8        |  |
|  | No                         | 255       | 88.2        |  |
| Other diagnosis during follow-up       | Yes                        | 26        | 9.0         |  |
|  | No                         | 263       | 91.0        |  |
| Neonate was on antibiotics             | Yes                        | 254       | 87.9        |  |
|  | No                         | 35        | 12.1        |  |
| Need respiratory support               | Yes                        | 232       | 80.3        |  |
|  | No                         | 57        | 19.7        |  |
| Type of respiratory support given (for | CPAP                       | 146       | 62.9        |  |
| neonates in need)                      | Intranasal oxygen          | 86        | 37.1        |  |
| КМС                                    | Yes                        | 92        | 31.8        |  |
|  | No                         | 197       | 68.2        |  |
| Type of KMC                            | Intermittent               | 87        | 30.1        |  |
|  | Continuous                 | 5         | 1.7         |  |
| Feeding instruction                    | Yes                        | 198       | 68.5        |  |
|  | No                         | 91        | 31.5        |  |
| Therapeutic feeding initiated          | Yes                        | 207       | 71.6        |  |
|  | No                         | 82        | 28.4        |  |
| Age at initiation                      | Within 24 hour             | 63        | 21.8        |  |
|  |                            | 64        | 22.1        |  |
|  | 24–48 hours                | 64        |             |  |
|  | 24-48 hours<br>48-72 hours | 52        | 18.0        |  |

#### Table 3 (Continued).

(Continued)

| Variables                | Categories   | Frequency | Percent (%) |
|--------------------------|--------------|-----------|-------------|
| Therapeutic feeding type | Human milk   | 181       | 62.6        |
|                          | Formula      | 24        | 8.3         |
|                          | Mixed        | 2         | 0.7         |
| Feeding interval         | ≤3           | 169       | 58.5        |
|                          | 4–5          | 33        | 11.4        |
|                          | ≥6           | 5         | 1.7         |
| Pacifier was used        | Yes          | 13        | 4.5         |
|                          | No           | 267       | 95.5        |
| Phototherapy given       | Yes          | 92        | 31.8        |
|                          | No           | 197       | 68.2        |
| Feeding status           | FEF achieved | 216       | 74.7        |
|                          | Censored     | 73        | 25.3        |
| Milk type at FEF         | Human milk   | 133       | 46.0        |
|                          | Formula      | 21        | 7.3         |
|                          | Mixed        | 62        | 21.5        |
| Feeding mode at FEF      | Direct       | 127       | 43.9        |
|                          | Tube         | 41        | 14.2        |
|                          | Cup          | 48        | 16.6        |
| Censored                 | Yes          | 73        | 25.3        |
|                          | No           | 216       | 74.4        |
| Duration of MF           | <7 days      | 180       | 62.3        |
|                          | 7–14 days    | 70        | 24.2        |
|                          | 15–21 days   | 24        | 8.3         |
|                          | >21 days     | 15        | 5.2         |

Table 3 (Continued).

The median follow-up time were 22 days, and the mean and median survival time among died parenteral feeding neonates were 7.818 (CI: 6.492, 9.143) and 5 days (95% CI: 5, 7), respectively.

# Determinants of Mortality Among LBW Neonates Receiving Parenteral Feeding in NICU

To assess the fulfilment of assumptions for survival analysis with Cox regression, a global test was conducted. The test yielded a chi-square value of 29.45 and a corresponding p-value of 0.5964. Additionally, a Kaplan–Meier survival curve was generated and is depicted in Figures 1–5. The results of the global test indicate that there is no significant violation of assumptions for survival analysis with Cox regression.

In the bivariate Cox regression analysis, maternal age, residence, educational level, ANC follow-up, gestational age, labor onset, pregnancy-induced hypertension, and multiple pregnancies were found to be associated with mortality

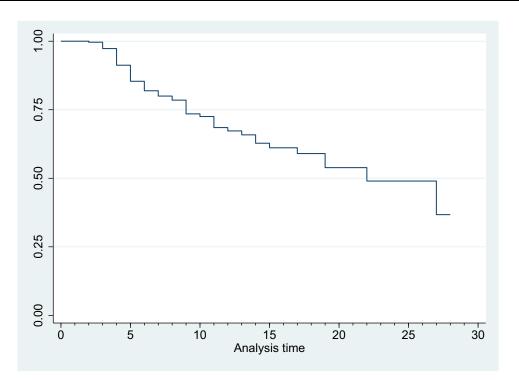


Figure I Kaplan-Meier Survival curve for mortality among LBW neonates admitted to NICU in selected Hospitals 15.

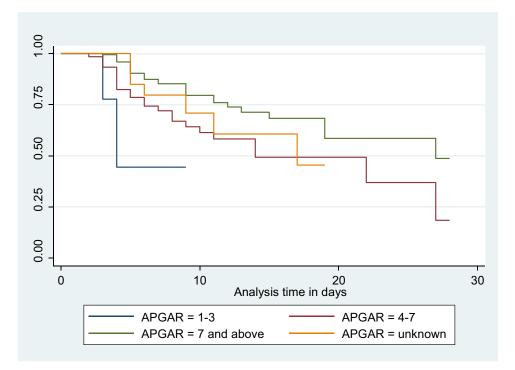


Figure 2 Kaplan-Meier Survival curve for mortality among LBW neonates admitted to NICU based on their APGAR score on admission 16.

among neonates receiving parenteral feeding at a p-value less than 0.25. Similarly, neonatal characteristics such as birth weight, weight for age, Apgar score at admission, respiratory distress, perinatal asphyxia, jaundice, apnea, dehydration, edematous malnutrition, need for respiratory support, receiving Kangaroo Mother Care, phototherapy, and initiation of trophic feeding were associated at a p-value less than 0.25.

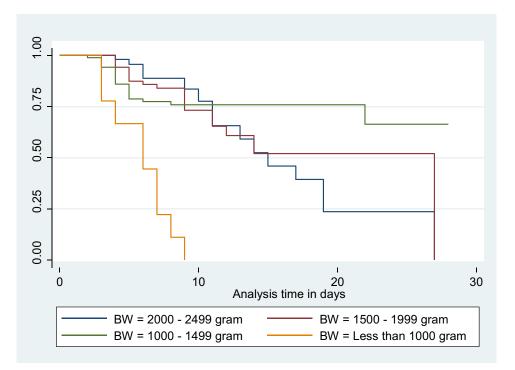


Figure 3 Kaplan-Meier Survival curve for mortality among LBW neonates admitted to NICU based on their birth weight 17.

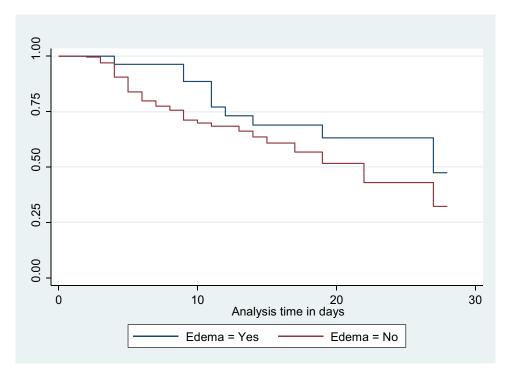


Figure 4 Kaplan-Meier Survival curve for mortality among LBW neonates admitted to NICU based on oedematous malnutrition status 17.

All of these variables were then entered into a multivariable Cox regression analysis. Birth weight, Apgar score at admission, edematous malnutrition, and initiation of trophic feeding were found to have a significant association with mortality among neonates receiving parenteral feeding at a p-value of less than 0.05.

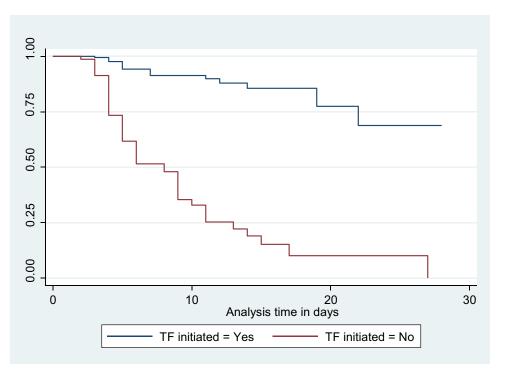


Figure 5 Kaplan-Meier Survival curve for mortality among LBW neonates admitted to NICU based on their trophic feeding initiation status 18.

Neonates born with a birth weight of less than 1000 grams faced a significantly increased risk of mortality, with a hazard ratio (AHR) of 9.539 (95% CI: 2.272, 40.038), compared to those weighing between 2000 and 2499 grams. Similarly, neonates admitted with an Apgar score of three or less had a substantially higher risk of mortality, with an AHR of 5.894 (95% CI: 1.320, 26.315), when compared to neonates with a score of seven or higher. Notably, neonates who experienced edematous malnutrition exhibited a significantly higher likelihood of mortality, with an AHR of 3.389 (95% CI: 1.355, 8.474), compared to their counterparts. Furthermore, neonates who did not initiate trophic feeding were at a significantly higher risk of mortality, with an AHR of 7.324 (95% CI: 3.453, 15.532), compared to those who did (Table 4).

| Variables         | Categories          | Neonatal Status |      | CHR (95% CI)         | AHR (95% CI)         | P-value |
|-------------------|---------------------|-----------------|------|----------------------|----------------------|---------|
|                   |                     | Censored        | Died |                      |                      |         |
| Age               | ≤24                 | 71              | 16   | 1                    | I                    |         |
|                   | 25–29               | 78              | 32   | 1.530 (0.838, 2.790) | 1.194 (0.572, 2.492) | 0.636   |
|                   | 30–34               | 50              | 13   | 0.934 (0.448, 1.948) | 0.563 (0.209, 1.512) | 0.255   |
|                   | ≥35                 | 24              | 5    | 0.660 (0.238, 1.834) | 0.828 (0.236, 2.900) | 0.768   |
| Residence         | Urban               | 180             | 43   | 1                    | I                    |         |
|                   | Rural               | 43              | 23   | 1.979 (1.188, 3.296) | 1.037 (0.451, 2.385) | 0.931   |
| Educational level | No formal education | 54              | 29   | 0.915 (0.503, 1.663) | 0.690 (0.272, 1.750) | 0.435   |
|                   | Primary school      | 60              | 13   | 0.503 (0.243, 1.037) | 0.587 (0.227, 1.512) | 0.270   |
|                   | Secondary school    | 47              | 6    | 0.483 (0.191, 1.219) | 0.462 (0.116, 1.840) | 0.274   |
|                   | College and above   | 62              | 18   | 1                    | 1                    |         |

**Table 4** Bi-Variable and Multivariable Cox Regression Analysis for Predictors of Neonatal Mortality Among LBW NeonatesAdmitted to NICU in Selected Hospitals

(Continued)

#### Table 4 (Continued).

| Variables                | Categories  | Neonatal S | Status | CHR (95% CI)          | AHR (95% CI)          | P-value |
|--------------------------|-------------|------------|--------|-----------------------|-----------------------|---------|
|                          |             | Censored   | Died   |                       |                       |         |
| ANC                      | Not at all  | 11         | 5      | 2.810 (1.010, 7.814)  | 1.778 (0.470, 6.727)  | 0.396   |
|                          | <4          | 136        | 46     | 1.593 (0.888, 2.859)  | 1.958 (0.940, 4.078)  | 0.073   |
|                          | ≥4          | 76         | 15     | I                     | I                     |         |
| Gestational age          | <28 weeks   | 2          | 5      | 4.478 (1.726, 11.617) | 0.352 (0.076, 1.622)  | 0.181   |
|                          | 28–32 weeks | 46         | 25     | 1.256 (0.732, 2.156)  | 0.916 (0.445, 1.884)  | 0.813   |
|                          | 33–36 weeks | 139        | 29     | I                     | I                     |         |
|                          | ≥37 weeks   | 36         | 7      | 1.626 (0.710, 3.725)  | 1.645 (0.973, 6.889)  | 0.510   |
| Birth Weight (g)         | 2000–2499   | 78         | 15     | I                     | I                     |         |
|                          | 1500-1999   | 80         | 21     | 0.917 (0.470, 1.788)  | 1.468 (0.638, 3.379)  | 0.366   |
|                          | 1000–1499   | 65         | 21     | 0.619 (0.316, 1.212)  | 2.150 (0.827, 5.588)  | 0.116   |
|                          | <1000       | 0          | 9      | 5.877 (2.524, 13.682) | 9.539 (2.272, 40.038) | 0.002*  |
| Labour onset             | Spontaneous | 4          | 51     | I                     | I                     |         |
|                          | Induced     | 82         | 15     | 0.457 (0.256, 0.814)  | 0.683 (0.297, 1.569)  | 0.370   |
| PIH                      | Yes         | 69         | 15     | 0.644 (0.359, 1.153)  | 0.998 (0.465, 2.140)  | 0.997   |
|                          | No          | 154        | 51     | 1                     | 1                     |         |
| Type pregnancy           | Single      | 181        | 47     | 1                     | I                     |         |
|                          | Multiple    | 42         | 19     | 1.683 (0.981, 2.885)  | 1.115 (0.511, 2.431)  | 0.784   |
| Weight for age           | SGA/ LGA    | 71         | 9      | 0.462 (0.228, 0.934)  | 0.567 (0.212, 1.518)  | 0.259   |
|                          | AGA         | 152        | 57     | 1                     | 1                     |         |
| Apgar score at admission | I_3         | 5          | 5      | 6.415 (2.443, 16.844) | 5.894 (1.320, 26.315) | 0.020*  |
|                          | 46          | 38         | 24     | 2.060 (1.203, 3.527)  | 1.006 (0.502, 2.015)  | 0.986   |
|                          | ≥7          | 163        | 30     | 1                     | 1                     |         |
|                          | Unknown     | 17         | 7      | 1.558 (0.682, 3.558)  | 0.884 (0.304, 2.568)  | 0.821   |
| Respiratory Distress     | Yes         | 154        | 58     | 1.730 (0.824, 3.629)  | 1.726 (0.577, 5.160)  | 0.329   |
|                          | No          | 69         | 8      | I                     | I                     |         |
| PNA                      | Yes         | 21         | 11     | 1.844 (0.958, 3.549)  | 2.204 (0.946, 5.133)  | 0.067   |
|                          | No          | 202        | 55     | 1                     | 1                     |         |
| Jaundice                 | Yes         | 81         | 12     | 0.373 (0.199, 0.698)  | 0.841 (0.347, 2.034)  | 0.701   |
|                          | No          | 142        | 54     | 1                     | 1                     |         |
| Apnea                    | Yes         | 12         | 19     | 2.324 (1.352, 3.995)  | 1.493 (0.697, 3.195)  | 0.301   |
|                          | No          | 211        | 47     | 1                     | 1                     |         |

(Continued)

| Variables                    | Categories | Neonatal Status |      | CHR (95% CI)          | AHR (95% CI)          | P-value |
|------------------------------|------------|-----------------|------|-----------------------|-----------------------|---------|
|                              |            | Censored        | Died |                       |                       |         |
| DHN                          | Yes        | 60              | 42   | 2.163 (1.307, 3.578)  | 1.117 (0.587, 2.126)  | 0.734   |
|                              | No         | 163             | 24   | I                     | I                     |         |
| Edematous malnutrition       | Yes        | 17              | 10   | 1.597 (0.793, 3.215)  | 3.389 (1.355,8.474)   | 0.009*  |
|                              | No         | 206             | 56   | I                     | I                     |         |
| Need for respiratory support | Yes        | 168             | 64   | 4.211 (1.019, 17.404) | 4.527 (0.795, 25.775) | 0.089   |
|                              | No         | 55              | 2    | I                     | I                     |         |
| КМС                          | Yes        | 88              | 4    | 0.162 (0.058, 0.445)  | 0.567 (0.184, 1.747)  | 0.324   |
|                              | No         | 135             | 62   | I                     | I                     |         |
| On Phototherapy              | Yes        | 81              | 11   | 0.317 (0.165, 0.608)  | 1.000 (0.418, 2.390)  | 1.000   |
|                              | No         | 142             | 55   | I                     | I                     |         |
| Trophic feeding initiated    | Yes        | 189             | 18   | I                     | I                     |         |
|                              | No         | 34              | 48   | 9.489 (5.488, 16.408) | 7.324 (3.453, 15.532) | 0.000*  |

#### Table 4 (Continued).

Notes: \*: significantly associated at a p-value less than 0.05.

Abbreviations: ANC, antenatal care; PIH, pregnancy induced hypertension; PNA, perinatal asphyxia; SGA, small for gestational age; LGA, large for gestational age; DHN, dehydration; KMC, Kangaroo mother care.

## Discussion

In this study, the observed incidence rate of neonatal mortality among admitted low birth weight (LBW) neonates receiving parenteral feeding was 29.438 per 1000 person-days (95% CI: 0.023, 0.037). These findings align with previous studies conducted in southern Ethiopia (27 per 1000 neonate-days)<sup>28</sup> and Felege Hiwot Comprehensive Specialized Hospital (35.3 per 1000 person-days).<sup>29</sup> However, the incidence rate in this study was lower than that reported in studies conducted in North West Ethiopia (75.63 per 1000 neonate-days).<sup>30</sup> This discrepancy may be attributed to the fact that the current study took place in hospitals equipped with well-staffed NICUs, which can decrease the mortality as they can give better care and proper diagnosis, in contrast to the other studies. Additionally, the relatively short follow-up duration and the exclusion of neonates with severe congenital abnormalities may have influenced the results.

Conversely, the incidence rate in this study was higher than that reported in a study conducted in Burkina Faso (1.93 per 1000 persons-days).<sup>27</sup> This disparity may be explained by the higher mean birth weight in the Burkina Faso study, and the inclusion of both normal and abnormal birth weight neonates.<sup>27</sup>

Parenteral feeding LBW neonates with a birth weight less than 1000 grams faced a significantly higher risk of mortality, with an adjusted hazard ratio (AHR) of 9.539 (95% CI: 2.272, 40.038), compared to those weighing between 2000 and 2499 grams at birth. This finding is consistent with studies conducted in various countries, including North West Ethiopia,<sup>30</sup> Eritrea,<sup>31</sup> South Africa,<sup>32</sup> India,<sup>33</sup> Burkina Faso,<sup>27</sup> Nigeria,<sup>34</sup> and Indonesia.<sup>35</sup> The increased risk might be attributed to physiological immaturity, such as underdeveloped lungs, heart, liver, digestive system, and immune system, as well as a higher prevalence of congenital malformations. These factors contribute to a higher susceptibility to health complications, including respiratory distress syndrome, jaundice,<sup>36</sup> infections such as sepsis, pneumonia, and meningitis,<sup>24,36</sup> necrotizing enterocolitis,<sup>37,38</sup> intraventricular hemorrhage,<sup>39–41</sup> difficulties in maintaining body temperature, challenges in feeding, apnea, anemia, and other complications.

Parenteral feeding LBW neonates with an Apgar score of three or less upon admission had a significantly higher risk of mortality, with an adjusted hazard ratio (AHR) of 5.89, compared to neonates with a score of seven or more. This finding is supported by studies conducted in various countries, including China,<sup>45</sup> United States,<sup>46,47</sup> Sweden,<sup>48,49</sup>

Eritrea,<sup>31</sup> Cameroon,<sup>50</sup> Nigeria,<sup>34</sup> and Southern and Northern Ethiopia.<sup>51–53</sup> Since Apgar score is a tool for quickly assessing the overall health and well-being of new-born, serving as an indicator of potential health issues or complications that can contribute to an increased risk of mortality.<sup>52</sup> Neonates with low Apgar scores may experience perinatal asphyxia, respiratory distress from various causes (such as respiratory distress syndrome, meconium aspiration syndrome, or other respiratory conditions leading to poor oxygenation and respiratory failure),<sup>52</sup> birth trauma, infections, and congenital abnormalities,<sup>51,54</sup> all of which can contribute to their higher mortality risk. This is helpful for health care providers to make informed decisions and better manage parenteral feeding neonates with lower Apgar score to prevent the risk of adverse outcomes.

Similarly, parenteral feeding LBW neonates who experienced edematous malnutrition were 3.389 times more likely (AHR: 3.389 95% CI: 1.355, 8.474) to die compared to their counterparts. This finding is supported by studies conducted in Zimbabwe and Zambia.<sup>55</sup> The reason for this could be that malnourished neonates may have poor immune status, water and electrolyte imbalances, low energy reserves, and difficulties in regulating body temperature.

Furthermore, parenteral feeding LBW neonates who did not start trophic feeding were 7.324 times (AHR: 7.324 95% CI: 3.453, 15.532) more likely to die compared to their counterparts. This finding is supported by a systematic review of trials that demonstrated a lower risk of mortality, shorter length of hospital stay, and reduced infection rates among low birth weight (LBW) and preterm neonates who received early enteral feeding.<sup>56</sup> Additional studies have also shown similar results.<sup>57</sup> The reason for this might be that early enteral feeding promotes physiologic gut maturity,<sup>58</sup> neurological development,<sup>58,59</sup> and better cognitive outcomes.<sup>59,60</sup> In contrast, its absence results in the decreased growth of a healthy gut microbiome, which is essential for immune system development, nutrient metabolism, and protection against pathogens.

When interpreting the findings of this study, it is important to consider the following limitations. Firstly, the study was conducted on admitted LBW neonates who received parenteral feeding at NICU, so the results may not be applicable to all admitted neonates or the entire neonatal period. Additionally, as this study was done in hospitals found in Addis Ababa, it does not represent the situation in Ethiopia. However, as a strength, it provides results that are more specific for LBW neonates during parenteral feeding.

#### Conclusion

This study identified a high incidence of mortality among LBW neonates receiving parenteral feeding in the study area. Predictors for mortality among LBW neonates receiving enteral feeding included birth weight less than 1000 grams, initiating trophic feeding, having a low Apgar score, and experiencing edematous malnutrition. Therefore, additional study should focus on optimizing enteral feeding practices such as use of human milk over cow's milk formula, timing of enteral feeding initiation, duration of minimal enteral/trophic feeds and optimizing human milk fortification. Additionally, it is crucial to examine quality of care practices for LBW neonates with low Apgar scores at birth in order to reduce neonatal mortality.

## **Abbreviations**

AHR, adjusted hazard ratio; CHR, crude hazard ratio; CI, confidence interval; WHO, World Health Organization; LBW, Low Birth Weight; SSA, Sub-Sahara African Countries; KMC, kangaroo mother care; ANC, Antenatal care; NICU, neonatal intensive care unit.

## **Ethics Approval and Consent to Participate**

Approval to carry out this study was received from Ethical review board of Addis Ababa University. Written informed consent was also obtained from all mothers of the included neonates, and confidentiality of the collected data were assured throughout the study. Moreover, this study complies with the declaration of Helsinki and related documents.

## **Data Sharing Statement**

The datasets used and analyzed during the current study are available from the corresponding author upon reasonable request esuyawkalmislu@gmail.com.

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