

MicroRNA signatures as clinical biomarkers in lung cancer

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Abstract: Even if early lung cancer detection has been recently significantly improved, the invasive nature of current diagnostic procedures, and a relatively high percentage of false positives, is limiting the application of modern detection tools. The discovery and clinical evaluation of novel specific and robust non-invasive biomarkers for diagnosis of lung cancer at an early stage, as well as for better prognosis and prediction of therapy response, is very challenging. MicroRNAs (miRNAs) can play an important role in the diagnosis and management of lung cancer patients, as important and reliable biomarkers for cancer detection and prognostic prediction, and even as promising as novel targets for cancer therapy. miRNAs are important in cancer pathogenesis, and deregulation of their expression levels has been detected not only in lung cancer but in many other human tumor types. Numerous studies strongly support the potential of miRNAs as biomarkers in non-small-cell lung cancer, and there is increasing evidence that altered miRNA expression is associated with tumor progression and survival. It is worth mentioning also that detection of miRNAs circulating in plasma or serum has enormous potential, because miRNAs serve as non-invasive biomarkers not only for the diagnosis and prognosis of the disease, but also as novel response and sensitivity predictors for cancer treatment. In this review, we summarize the current findings on the critical role of miRNAs in lung cancer tumorigenesis and highlight their potential as circulating biomarkers in lung cancer. Our review is based on papers that have been published after 2011, and includes the key words “miRNAs” and “lung cancer”.

Keywords: non-small-cell lung carcinoma, miRNAs, tumor biomarkers, circulating miRNAs, liquid biopsy, exosomes

Introduction

Lung cancer is the leading cause of cancer-related deaths worldwide, and numerous ongoing research efforts are directed to identify new strategies for its early detection, since early detection and precise diagnosis are critical for patients. Despite years of research, the prognosis for patients with lung cancer remains poor. The diagnosis of non-small-cell lung carcinoma (NSCLC) at an early stage, as well as better prediction of outcome, remains clinically challenging due to the lack of specific and robust non-invasive biomarkers.¹ Recent diagnostic procedure advances have considerably improved early lung cancer detection. However, the invasive, unpleasant, and inconvenient nature of current diagnostic procedures limits their application. Moreover, current screening methods still lead to a high percentage of false positives. Thus, there is still a great need for novel noninvasive biomarkers for early lung cancer diagnosis.

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Lung cancers are classified according to the histological types, and this classification has important implications for the clinical management and prognosis of the disease.² There are two main histological groups of lung cancer including NSCLC (85%) and small cell lung cancer (15%). NSCLC comprises three major histological subtypes: adenocarcinoma (AD), squamous cell carcinoma (SCC), and large cell carcinoma.³

Increasing evidence suggests that microRNAs (miRNAs) can play an important role in the diagnosis and management of lung cancer patients. Recent studies have indicated that miRNAs are important gene regulators that play critical roles in biological processes. Therefore, the expression levels of miRNAs can be important and reliable biomarkers for cancer detection and prognostic prediction, and may even potentially serve as targets for cancer therapy.^{4,5}

Non-invasive blood-based biomarkers for cancer detection and follow-up are very promising and offer a very attractive approach for improving the outcome of cancer patients. Recently, the number of groups that are working worldwide toward establishing a “liquid biopsy” approach for following up a variety of types of solid cancer is constantly increasing. The liquid biopsy approach is based on the enumeration and molecular characterization of circulating tumor cells,^{6–8} and on the detection of specific DNA sequences in cell-free circulating tumor DNA,⁹ as well as on circulating miRNAs,¹⁰ as a source of valuable biomarkers.

Today, 12 years after the first connection between miRNAs and cancer was revealed by Calin et al,¹¹ it seems that miRNAs are important players that could combine the pieces of the huge cancer puzzle. A plethora of human miRNAs has been discovered since then, and their critical role as key players in metastasis has begun to be elucidated.¹² It is now clear that miRNAs are the double face of the same coin, as they seem to control metastasis in various cancers either as metastasis-suppressive miRNAs or as metastasis-promoting miRNAs.

miRNAs can play an important role in the diagnosis and management of lung cancer patients, as important and reliable biomarkers for detection and prognosis. Moreover, nowadays, miRNAs are even promising as novel targets for cancer therapy, since they are important in cancer pathogenesis. Deregulation of their expression levels has been detected not only in lung cancer, but also in many other human tumor types. Numerous studies strongly support the potential of miRNAs as biomarkers in NSCLC, and there is increasing evidence that altered miRNA expression is associated with tumor progression and survival. It is worth mentioning also that detection of miRNAs circulating in plasma or serum has

enormous potential, because miRNAs serve as non-invasive biomarkers not only for the diagnosis and prognosis of the disease, but also as novel response and sensitivity predictors for cancer treatment.

In this review, we summarize the current findings on the critical role of miRNAs in lung cancer tumorigenesis and highlight their potential as circulating biomarkers in lung cancer. Our review is based on papers that have been published after 2011, and includes the key words “miRNAs” and “lung cancer”.

miRNAs, lung carcinogenesis, and metastasis

Many groups till now have intensively investigated the role of miRNAs in lung cancer.^{13–16} In this type of cancer, it is becoming clear that circulating miRNAs are suitable to discriminate malignant from non-malignant tissue.¹⁷ Using miRNA expression profiles, Lu et al correctly classified poorly differentiated tumors with better accuracy than messenger RNA profiles,¹⁸ while in another study miRNA profiling was shown to predict patient outcome with high accuracy.¹⁹

Peng et al have recently shown that miR-486 was the most down-regulated miRNA in stage 1 NSCLC tumors when compared to adjacent non-cancerous lung tissues, suggesting that its loss may be important in lung cancer development.²⁰ According to this study, this miRNA is a potential biological link to p53, since it directly targets components of insulin growth factor (IGF) signaling, including insulin-like growth factor 1 (IGF1), IGF1 receptor (IGF1R), and phosphoinositide-3-kinase, regulatory subunit 1 (alpha) (PIK3R1, or p85a) and functions as a potent tumor suppressor of lung cancer both in vitro and in vivo.²⁰

Different members of the *let-7* family have been reported to be decreased in human lung tumors. Low levels of *let-7c* were significantly associated with metastasis, venous invasion, advanced TNM stages, and poor survival of NSCLC patients.²¹ Ectopic expression of *let-7c* suppressed the migration and invasion of highly metastatic cells, while its inhibition in cells with relatively low metastatic potential promoted their motility and invasion.²¹

It was also very recently shown that low miR-638 and high SOX2 levels were associated with tumor size and metastasis of NSCLC patients, mainly by modulating the expression levels of markers of epithelial-to-mesenchymal transition.²² miR-143 was also found down-regulated in NSCLC tissues, and in vitro experiments have shown that it could significantly suppress the migration and invasion of NSCLC cell lines through targeting CD44v3.²³

Understanding the mechanisms responsible for TNF (tumor necrosis factor)-related apoptosis inducing ligand (TRAIL) resistance is important for the development of anticancer therapies. The miR-34 family consists of tumor-suppressive miRNAs. Recently, it has been reported that miR-34a and miR-34c, which target platelet-derived growth factor receptor alpha and beta (PDGFR- α and PDGFR- β), cell surface tyrosine kinase receptors were down-regulated in lung tumors, compared to normal tissues.²⁴ Moreover, over-expression of miR-34a/c or down-regulation of PDGFR- α/β by small interfering RNAs, strongly augmented the response to TRAIL, while reducing migratory and invasive capacity of NSCLC cells.²⁴ The ERK1/2 pathway and BIM expression were recently linked through miR-494, which was the most down-regulated miRNA after ERK1/2 inactivation.²⁵ This miRNA-induced TRAIL resistance in NSCLC through the down-modulation of BIM.²⁵ The same group has recently demonstrated that there is a biological link between epidermal growth factor receptor (EGFR) and MET (the receptor tyrosine kinase for hepatocyte growth factors), and the miRNA cluster 23a ~ 27a ~ 24-2.²⁶

miRNAs as biomarkers in NSCLC primary tumor tissues

Several groups have already studied the association of miRNA expression with prognosis and progression in NSCLC.²⁷ The prognostic value of mature miR-21 in NSCLC was firstly shown in 2008.²⁸ It was recently shown that advanced stage tumors expressed significantly higher levels of miR-21, compared with TNM stage I tumors, but high levels of miR-21 were associated with worse cancer-specific mortality and relapse-free survival independently of other clinical factors.²⁹ By using the Illumina technology, two miRNA signatures that are highly predictive of recurrence/relapse-free survival of stage I NSCLC were identified.³⁰

Hu et al have very recently shown that the expression level of miR-128 was significantly down-regulated in NSCLC tissues and cancer cells, and that this was significantly correlated with NSCLC differentiation, pathological stage, and lymph node metastasis.³¹ Their findings suggest that miR-128 could play a role in NSCLC tumorigenesis, at least in part by modulation of angiogenesis and lymphangiogenesis through targeting vascular endothelial growth factor C, and could simultaneously block ERK, AKT, and p38 signaling pathways. Therapeutic strategies to restore miR-128 in NSCLC could be useful to inhibit tumor progression.³¹ Several aberrantly expressed miRNAs that can discriminate lung ADs from normal lung tissue and are differentially expressed between EGFR-mutated and EGFR

wild-type lung ADs have been recently identified.³² These miRNAs may be potential biomarkers for early detection and even lung cancer classification. Conventional histopathological methods sometimes cannot distinguish between primary and metastatic ADs in the lung; miRNA profiles can predict the histology of primary lung carcinomas, and differentiate between primary lung ADs and colorectal cancer metastases.³³

miR-31 is up-regulated in lung AD tissues from patients with lymph node metastases and is a significant predictor of survival.³⁴ In vitro functional assays have shown that this miRNA increases cell migration, invasion, and proliferation in an ERK1/2 signaling-dependent manner.³⁴ The identification of miRNA-dependent transcriptional regulation differences between SCC and AD histological lung cancer subtypes may provide new biomarkers for personalized treatment strategies.³⁵ The expression study of specific miRNAs, and their relationship with clinical-pathological features have been also investigated in NSCLC. Sun et al showed that miR-150 was down-regulated preferentially in subgroups of patients with a tumor diameter more than or equal to 3 cm, in smokers and in stage III and IV tumors.³⁶ Moreover, miR-150 and miR-3940-5p expression was decreased in nuclear cell proliferation antigen Ki-67-positive NSCLC cases. miR-150 and miR-3940-5p were found to be significantly down-regulated in p53 immunohistochemistry-positive NSCLC cases, and were negatively correlated with p53 mRNA.³⁶

A comprehensive meta-analysis of 20 published miRNA expression studies in lung cancer, identified an miRNA meta-signature of seven miRNAs that were up-regulated (miR-21, miR-210, miR-182, miR-31, miR-200b, miR-205, and miR-183) and eight that were down-regulated (miR-126-3p, miR-30a, miR-30d, miR-486-5p, miR-451a, miR-126-5p, miR-143, and miR-145). These miRNAs cooperatively target functionally related and biologically relevant genes in signaling and developmental pathways.³⁷ High expression of miR-21 is associated with worse overall survival (OS) in NSCLC, and recurrence/relapse-free survival/cancer-specific survival in lung AD according to another meta-analysis.³⁸ In Table 1 we summarize the prognostic significance of miRNAs as evaluated so far in NSCLC primary tumor tissues.

Evaluation of miRNAs as biomarkers in NSCLC primary tissues and paired serum samples

Recently, a number of studies performed in primary tissues and paired serum samples focused on the clinical significance

of miRNAs in NSCLC. In most of these studies, reverse transcription quantitative polymerase chain reaction (RT-qPCR) remains the method of choice for the evaluation of miRNA expression in clinical samples. Zhu et al studied the expression levels of miR-96, miR-182, and miR-183 in paired samples from lung cancer patients and in serum samples from normal volunteers and lung cancer cell lines by RT-qPCR.³⁹ They have shown that high expression levels of tumor and serum miR-183 were associated with poor OS and may be considered potential novel diagnostic and prognostic lung cancer biomarkers.³⁹ The same group has also shown that the expression levels of miR-21, miR-141, and miR-200c in NSCLC were higher than those in normal tissues and that overexpression of serum miR-21 was strongly associated with lymph node metastasis and advanced clinical stage of NSCLC.⁴⁰

When liquid bead array technology (Luminex™) was used to check the expression of 320 mature miRNAs in a pilot testing group of 19 matched fresh-frozen cancerous and non-cancerous tissues from NSCLC patients, eight miRNAs (miR-21, miR-30d, miR-451, miR-10a, miR-30e-5p, and miR-126*, miR-126, and miR-145) were found to be differentially expressed, and these results were further verified by RT-qPCR.⁴¹ However, in corresponding NSCLC plasma samples, only three of these miRNAs (miR-21, miR-10a, and miR-30e-5p) displayed differential expression. According to the findings of this study, high expression of miR-21 was associated with disease-free interval and OS both in NSCLC tissues and plasma, while low expression of miR-10a in NSCLC plasma samples was associated with worse disease-free interval and high expression of miR-30e-5p was found to be associated with shorter OS.⁴¹ Zhu et al have shown that when the expression of miR-125a-5p, let-7e, miR-30a, miR-30e, and miR-30e-3p in paired tissue and serum samples from NSCLC patients was evaluated it was found that reduced expression of miR-125a-5p, let-7e, and miR-30e was strongly associated with NSCLC dedifferentiation, while no expression of miR-125a-5p and let-7e was associated with shorter OS, and let-7e was an independent prognostic factor.⁴² Another study of the same group has shown that miR-29c and miR-93 expression levels were up-regulated in NSCLC tissues,⁴³ while in serum miR-29c was up-regulated, miR-429 was down-regulated and miR-429 was associated with poor OS.⁴³

Li et al obtained miRNA expression profiles and corresponding clinical information for 372 lung AD patients from The Cancer Genome Atlas (TCGA), and identified the most significantly altered miRNAs between tumor and normal

samples.⁴⁴ Using survival analysis and supervised principal components method, they identified an eight-miRNA signature for the prediction of OS of lung AD patients. This miRNA signature, if validated in other prospective studies, may have important implications in clinical practice, in particular identifying a subgroup of patients with LUAD who are at high risk of mortality.⁴⁴

By using next generation sequencing, Ma et al studied the profile of miRNAs in eight lung tumor tissues and identified 896 known miRNAs and 14 novel miRNAs. A panel of four of these miRNAs (miRs-944, 205-3p, 135a-5p, and 577) was identified that could differentiate SCC from AD with 93.3% sensitivity and 86.7% specificity.⁴⁵

Circulating miRNAs as NSCLC biomarkers

Circulating miRNAs comprise a source of highly promising molecular biomarkers that can be easily accessible in various body fluids and offer a novel, non-invasive, cost-effective, and useful tool for cancer detection and prognosis.⁴⁶⁻⁴⁹ The extraction and quantification of cell-free miRNAs, circulating in plasma and serum, was firstly shown in 2008 by Mitchell et al.⁵⁰ Since then, numerous studies have shown that miRNAs are rapidly released into the circulation.⁴⁶⁻⁴⁹ Changes in plasma miRNA-ratios can indicate early deregulation of tumor-related miRNAs and can even identify miRNA signatures of diagnostic and prognostic value.

The growing interest in developing assays for circulating miRNAs necessitates careful consideration of pre-analytical and analytical parameters on their isolation, stability, and quantification. Especially the use of exogenous and endogenous controls for normalization of data is very critical for the reliable quantification of circulating miRNA levels in plasma. According to a recent study, endogenous circulating miRNA levels are unstable when plasma is stored at 4°C, and samples should be kept at -70°C, where the extracted miRNAs remain stable for up to 1 year.⁵¹ Normalization based on combined endogenous and exogenous control miRNAs can correct for differences in miRNA recovery and complementary DNA synthesis between samples.⁵¹

Moreover, the quantity of residual platelets and micro-particles remaining after plasma processing might impact miRNA measurements. Cheng et al report a major effect of plasma processing on the quantification of circulating miRNA levels, and that the majority of detectable miRNAs is substantially affected by sample processing alone.⁵² They suggest that platelet contamination in archived samples

could largely be eliminated by additional centrifugation, and provide specific practical recommendations for circulating miRNA analysis.⁵²

It is also important to mention that according to a recent study, the expression levels of specific miRNAs in serum and plasma could not be the same. More specifically, Heegaard et al studied in paired serum and plasma samples from patients with early stage NSCLC (n=220) and matched controls (n=220) the expressions of seven miRNAs (miR-146b, miR-221, let-7a, miR-155, miR-17-5p, miR-27a, and miR-106a) and reported that in serum, miRNA expression levels did not correlate well with corresponding levels in plasma.⁵³

Next generation sequencing of small RNAs holds also a great potential to quantify miRNAs in peripheral blood and to identify previously unknown miRNAs serving as lung cancer biomarkers. By using the high-throughput SOLiD system, Keller et al performed transcriptome sequencing of all known miRNAs, and were the first to detect 41 novel mature forms of known miRNA precursors in the peripheral blood of lung cancer patients.⁵⁴

Circulating miRNAs in plasma and serum as prognostic biomarkers

Serum miRNAs could serve as predictors of survival for advanced NSCLC. Wang et al recently identified transforming growth factor- β signaling pathway-related serum miRNAs as predictors of survival in advanced NSCLC. They checked 140 miRNAs that were highly expressed in serum, and found that high expression of miR-16 in serum was associated with a significantly better survival.⁵⁵ They were able to identify patients at the highest risk of death by using a combined 17-miRNA risk score.⁵⁵ When the expression levels of miR-19a were evaluated in paired tissue and serum samples of NSCLC patients, it was found that overexpression of miR-19a in serum could indicate an independent, poor prognostic factor for survival in NSCLC patients.⁵⁶

NSCLC patients could be distinguished from healthy donors by using an eleven-plasma miRNA signature, while another six-plasma miRNA signature was able to discriminate between patients with NSCLC and patients with chronic obstructive pulmonary disease.⁵⁷ More specifically, high miR-155-5p, high miR-223-3p, and low miR-126-3p levels were significantly associated with a higher risk for progression in AD patients, while high miR-20a-5p, low miR-152-3p, and low miR-199a-5p plasma levels significantly predicted survival of SCC patients.⁵⁷ Another potential biomarker in patients with lung cancer is miR-17-5p. Its expression levels

in serum were significantly increased in lung cancer patients compared with healthy individuals, and were indicative of shorter survival times.⁵⁸

Circulating miRNAs in plasma and serum as early diagnostic markers for lung cancer

A variety of miRNAs have been evaluated as biomarkers for early detection of lung cancer. Fortunato et al have recently profiled circulating miRNAs in plasma based on the evaluation of reciprocal miRNA levels measured by RT-qPCR.⁵⁹ To avoid bias due to the release of miRNAs from blood cells, a signature of miRNA ratios distinguishing hemolyzed samples was identified.⁵⁹ Global profiling approaches in serum from patients with AIDS-defining cancer (ADC) of the lung revealed that a group of six miRNAs, (miR-30c-1, miR-616, miR-146b-3p, miR-566, miR-550, and miR-939) was present at substantially higher levels in ADC compared with control sera, while miR-339-5p and miR-656 were detected at substantially lower levels in ADC sera.⁶⁰ According to another study, miRNA-21 expression levels were significantly higher in plasma and exhaled breath condensate samples from patients with a diagnosis of NSCLC in respect to controls, while corresponding levels of miRNA-486 were significantly lower, indicating a potential of these miRNAs to be used as a first-line screening test in high-risk subjects.⁶¹

Plasma miR-21, miR-145, and miR-155 also have strong potential as biomarkers for early detection of lung cancer,⁶² while levels of miR-328, miR-18a, miR-339, and miR-140 in peripheral blood of NSCLC patients were significantly higher than in blood of healthy donors.⁶³ In particular, miR-328 plasma levels could be used to correctly discriminate between patients with early NSCLC and healthy donors.⁶³ Additionally, in another study, miR-155, miR-197, and miR-182 levels in plasma of lung cancer patients (including stage I) were found to be significantly elevated compared with controls.⁶⁴ Moreover, miR-155 and miR-197 plasma levels were higher in patients with verified metastasis, and were significantly decreased in patients who responded to chemotherapy.⁶⁴

The potential of aberrant expression of miR-21 has been explored intensively in lung cancer. A recent meta-analysis systematically investigated whether the expression of this single miRNA could correctly distinguish patients with lung cancer from cancer-free controls with a high level of diagnostic accuracy; 676 patients with lung cancer and 529 healthy controls were involved, and it was reported that

a single miRNA, even if this is miR-21, is not sufficient to identify lung cancer, and that more miRNAs should be used.⁶⁵ Another recent meta-analysis that was based on a systematic search in several research databases for studies of the accuracy of circulating miRNAs as diagnostic indicators of lung cancer, and based on 13 publications, came to the conclusion that circulating miRNAs show significant potential as diagnostic markers of lung cancer. However, larger, more rigorous studies are needed to confirm such a diagnostic role.⁶⁶

Bianchi et al developed a test, based on the detection of 34 circulating miRNAs' signature from plasma, which is able to identify high-risk individuals with early lung cancer

with 80% accuracy.⁶⁷ This signature could assign disease probability either in asymptomatic or symptomatic patients, since it is able to distinguish between benign and malignant lesions, and to capture the onset of the malignant disease in individual patients over time.⁶⁷ In Tables 2–4 we summarize the findings of recent studies on circulating miRNAs in lung cancer. More specifically, in Table 2 we summarize the findings of recent studies on circulating miRNAs in plasma, in Table 3 we summarize the findings of recent studies on circulating miRNAs in serum, and in Table 4 we summarize the findings of recent studies on circulating miRNAs in whole blood of patients with lung cancer.

Table 1 Prognostic significance of miRNA signatures in lung cancer primary tumor tissues

miRNAs studied	Reported findings	Clinical samples	Ref
hsa-miR-21, hsa-miR-31, hsa-miR-34a, hsa-miR-2,* hsa-miR-504, hsa-miR-18a, and hsa-miR-412	High-level expression of miR-21 was significantly correlated with shortened survival time ($P=0.022$, log-rank test; Kaplan–Meier)	Four matched pairs of tissues	27
miR-21 and miR-205	miR-21 overexpression correlated with OS ($P=0.027$), overexpression of mature miR-205 did not correlate with OS	46 pairs of surgically removed NSCLC primary tumor tissues and their corresponding normal lung tissues	28
miR-21, miR-17, and miR-155	Elevated miR-21 (HR 2.06, 95% CI 1.13–3.75), miR-17 (HR 2.00, 1.10–3.61), and miR-155 (HR 2.37, 1.27–4.42) was associated with worse cancer-specific mortality	317 NSCLC primary tumor tissues (FFPEs)	29
miRNA profiling study	184 miRNAs were associated with RFS in the adjusted analyses with $P=0.05$ but not significant in global tests (FDR, 0.05)	357 stage I NSCLC FFPE primary tumor tissues	30
miR-31	High miR-31 expression is associated with adverse outcome in patients with T2N0 lung ADC (log-rank, $P=0.0194$)	64 frozen lung ADC primary tumor tissues	34
miR-150, miR-18b-5p, miR-643, and miR-3940-5p	miR-150 and miR-3940-5p were found to be significantly down-regulated in p53 IHC-positive NSCLC cases and were negatively correlated with p53	90 NSCLC primary tumor tissues	36
miR-21 and miR-155	miR-155, the pooled HR for OS was 2.09 (95% CI: 0.68–6.41, $P>0.05$)	88 fresh-frozen NSCLC primary tumor tissues	38
miR-96, miR-182, and miR-183	miR-183 Family were associated with overall poor survival in patients with lung cancer	70 paired samples from lung cancer patients	39
miR-21, miR-30d, miR-451, miR-10a, miR-30e-5p and miR-126,* miR-126, miR-145	Low expression of miR-10a in NSCLC plasma samples was associated with worse DFI ($P=0.050$) and high expression of miR-30e-5p was found to be associated with shorter OS ($P=0.048$)	40 pairs of surgically removed NSCLC primary tumor tissues and their corresponding normal lung tissues	41
miR-125a-5p, let-7e, miR-30a, miR-30e, and miR-30e-3p	miR-125a-5p and let-7e were associated with shorter OS	70 pairs of surgically removed NSCLC primary tumor tissues and their corresponding normal lung tissues	42
miR-31, miR-196b, miR-766, miR-519a-1, miR-375, miR-187, miR-331, and miR-101-1	Patients assigned to the high-risk group exhibited poor OS compared with patients in the low-risk group (HR=1.99, $P<0.001$)	372 NSCLC primary tumor tissues	44
667 miRNAs	Nine miRNAs were found to be differentially expressed in SCC versus adenocarcinoma samples	44 NSCLC primary tumor tissues	35
677 miRNAs	Expression of miR-128, -10b, -502-3p, and -192 differed between SCC and AD, and miR-128, and -192 between NLP and NSCLC	50 squamous cell carcinoma primary tumor tissues	67

Abbreviations: miRNA, micro-ribonucleic acid; hsa-miRNA, *Homo sapiens* miRNA; ref, reference; OS, overall survival; CI, confidence interval; HR, hazard ratio; RFS, recurrence/relapse-free survival; NSCLC, non-small-lung carcinoma; SCC, squamous cell carcinoma; NLP, normal lung parenchyma; FDR, false discovery rate; ADR, AIDS-defining cancers; IHC, immunohistochemistry; DFI, disease-free interval; FFPE, formalin-fixed paraffin-embedded; AD, adenocarcinoma.

Table 2 Circulating miRNAs in serum as biomarkers in lung cancer

Clinical samples	miRNAs studied	Reported findings	Ref
70 NSCLC patients as well as in serum samples from 48 healthy controls	miR-29c, miR-93, and miR-429	miR-29 (AUC =0.723) miR-429 (AUC =0.727)	43
40 sera of ADC patients and 40 age- and sex-matched controls	miR-30c-1, miR-616, miR-146b-3p, miR-566, miR-550, miR-939, miR-339-5p, and miR-656	miR-30c-1* (AUC =0.74), miR-616 (AUC =0.71), miR-146b-3p (AUC =0.82), miR-566 (AUC =0.80), miR-550 (AUC =0.72), miR-939 (AUC =0.82), miR-339-5p (AUC =0.60)	48
391 patients with advanced NSCLC	Global miRNA microarray expression profiling	↑ miR-16 was associated with a significantly better survival (adjusted HR =0.4, 95% CI: 0.3–0.5)	55
201 NSCLC patients and 103 healthy controls	miR-19a	↑ miR-19a outcome was significantly worse than those of patients with ↓ miR-19a expression (HR =1.438, 95% CI, 1.007–2.052, P=0.046)	56
221 lung cancer patients and 54 matched controls	miR-17-5p	↑ miR-17-5p expression associated with shorter survival times (HR =1.767, 95% CI 1.039–3.005; P=0.035)	58
80 sera specimens including those from ADC patients	Global profiling	↑ miR-30c-1* (AUC =0.74; P<0.002), ↑ miR-616* (AUC =0.71; P=0.001), ↑ miR-146b-3p (AUC =0.82; P<0.0001), ↑ miR-566 (AUC =0.80; P<0.0001), ↑ miR-550 (AUC =0.72; P=0.0006), and ↑ miR-939 (AUC =0.82; P<0.0001)	60
60 NSCLC patients and 30 healthy controls	miR-210	AUC =0.775 (95% CI 0.690–0.872)	69

Abbreviations: miRNAs, micro-ribonucleic acids; ref, reference; CI, confidence interval; AUC, area under the curve; HR, hazard ratio; NSCLC, non-small-cell lung carcinoma; ADC, AIDS-defining cancers.

miRNAs as predictive biomarkers

Individual selection of high-risk patients for adjuvant systemic therapies requires specific and reliable biomarkers, and is extremely important in lung cancer, since almost 50% of NSCLC patients develop distant metastases following pulmonary resection. The development of targeted therapies based on specific mutations has improved lung cancer management. However, the prognosis still remains poor. MiRNAs are intensively investigated as predictive biomarkers in lung cancer.

Skrzypski et al recently identified three new miRNAs predictive of distant relapse in operable SCC.⁶⁸ More specifically, by using quantitative RT-qPCR they evaluated the

expression of 677 miRNAs in fresh-frozen tumor samples in a training cohort of 50 SCC patients with a known clinical outcome and who underwent curative surgery, and then re-evaluated all miRNAs that were found to be associated with highest predictive value for distant relapse in formalin-fixed paraffin-embedded material in an independent group of 134 stage I–IIIA SCC patients. When the same miRNAs were investigated in 89 lung AD patients and in normal lung parenchyma, miR-662, -192, and -192* were confirmed as prognostic biomarkers in the independent SCC cohort, while miR-128, -10b, -502-3p, and -192 were differentially expressed between SCC and AD, and miR-128 and -192 – between normal lung parenchyma and NSCLC.⁶⁸

Table 3 Circulating miRNAs in plasma as biomarkers in lung cancer

Clinical samples	miRNAs studied	Reported findings	Ref
54 patients with lung cancer and 46 controls	miR-21, miR-486	Sens =87%, Spec =86.5%, AUC =0.90	61
A training set of 62 patients and 60 healthy smokers, and a testing set of 34 malignant tumor patients; 30 patients with benign pulmonary nodules and 32 healthy smokers	miR-21, miR-145, miR-155	Sens =76.5%, Spec =81.3%, AUC =0.87	62
220 patients with early stage NSCLC and 220 matched controls	miR-146b, miR-221, let-7a, miR-155, miR-17-5p, miR-27a, miR-106a, miR-29c	AUC =0.60	53
52 patients with I–IIIA stages NSCLC, 10 patients with COPD	miR-20a-5p, miR-25-3p, miR-155-5p, miR-191-5p, miR-223-3p, miR-296-5p, miR-320-3p, let-7f-5p, miR-24-3p, miR-126-3p, miR-145-5p, miR-152-3p, miR-199a-5p	↑ miR-155-5p (P=0.068) and miR-20a-5p (P=0.018) along with ↓ miR-152-3p (P=0.049) were associated with poor DFS of NSCLC patients	57
74 lung cancer patients and 68 age-matched cancer-free controls	miR-155, miR-197, miR-182	Sens =81.3%, Spec =86.8%, AUC =0.90	64

Abbreviations: miRNAs, micro-ribonucleic acids; ref, reference; NSCLC, non-small-cell lung carcinoma; COPD, chronic obstructive pulmonary disease; Sens, sensitivity; Spec, specificity; AUC, area under the curve; DFS, disease-free survival.

Table 4 Circulating miRNAs in whole blood as biomarkers in NSCLC

Clinical samples	miRNAs studied	Reported findings	Ref
86 patients with predominantly early-stage NSCLC and 24 healthy donors	miR-328	Sens =70%, Spec =83%, AUC =0.82	63
22 consecutive patients with advanced NSCLC, treated with pemetrexed-based chemotherapy and 27 age- and sex-matched healthy controls	miR-22, miR-24, and miR-34a	↑ miR-22 expression was observed in patients developing progressive disease ($P=0.03$)	70
22 patients with lung adenocarcinoma and 23 controls	miR-190b, miR-630, miR-942, miR-1284	Sens =88%, Spec =89%	71
64 patients with NSCLC and 26 healthy individuals	miR-143, miR-150	miR-143 (AUC =0.89), miR-150 (AUC =0.83)	72

Abbreviations: miRNAs, micro-ribonucleic acids; NSCLC, non-small-cell lung carcinoma; Sens, sensitivity; Spec, specificity; AUC, area under the curve.

Li et al investigated the levels of miR-210 in serum of patients with NSCLC by using RT-qPCR and determined its predictive value in NSCLC patients following cisplatin-based chemotherapy.⁶⁹ According to their findings, serum miR-210 was up-regulated in patients with NSCLC (n=60) compared with healthy control subjects (n=30). Higher serum miR-210 levels were also significantly correlated with the clinical stage and the presence of regional lymph node metastasis in patients with NSCLC. Moreover, the levels of miR-210 in serum of patients who achieved a partial response following cisplatin-based chemotherapy were significantly lower than in patients with stable or progressive disease, and were similar to those in healthy control subjects.⁶⁹

Pemetrexed has been widely used in patients with advanced NSCLC. Franchina et al investigated for the first time the clinical relevance of polymorphisms of folate pathway genes for pemetrexed metabolism.⁷⁰ After evaluating the expression levels of circulating miR-22, miR-24, and miR-34a, they report that miR-22 could represent a novel predictive biomarker for pemetrexed-based treatment, since they found a correlation between high expression of miR-22 in whole blood and the lack of response in pemetrexed-treated NSCLC patients.⁷⁰

The global expression profile of miRNAs in whole blood of 22 patients with lung AD and 23 controls, ten of whom had a radiographically detected non-cancerous lung nodule and the other 13 of whom were at high risk for developing lung cancer because of a smoking history of >20 pack-years, was examined and was based on locked nucleic acid microarrays.⁷¹ According to this study, which quantified 1,282 human miRNAs, miR-190b, miR-630, miR-942, and miR-1284 were the most frequent constituents of the classifiers generated during the analyses. These results suggest that whole blood miRNA expression profiles can be used to distinguish lung cancer cases from clinically relevant controls.⁷¹

In conclusion, plasma miRNAs obtained by noninvasive methods could serve as circulating tumor biomarkers of diagnostic, prognostic, and predictive value in NSCLC. However

there is still a lot of work to be done before the establishment of miRNA as biomarkers in the clinical laboratory, especially toward the standardization of analytical methodologies used, the inclusion of internal and external controls in each assay, and the consensus toward normalization of these results. In Table 2 we summarize findings presented so far on the detection of circulating miRNAs in plasma and serum of NSCLC patients.

miRNAs in other biofluids as potential biomarkers in lung cancer

Sputum

Sputum miRNAs are potentially useful noninvasive markers for diagnosis of lung cancer. A systematic search for published literature evaluating the diagnostic accuracy of sputum miRNAs in lung cancer was recently performed by Liao et al to determine pooled sensitivity and specificity. Eight studies with a total of 514 patients and 491 controls were included in this meta-analysis. According to the results of this meta-analysis, the diagnostic specificity of sputum miRNAs may be influenced by the miRNA profiles.⁷²

The first group who evaluated the expression of miRNAs in sputum was the Jiang laboratory. In their first study, they found that endogenous miRNAs are stably present in sputum specimens, but miR-21 was found to be significantly overexpressed in sputum of NSCLC patients as compared with cancer-free subjects.⁷³ Moreover, in the same study it was shown that miR-21 overexpression in sputum was a more sensitive biomarker (70%) than conventional sputum cytology (48%) in diagnosing lung cancer.

By using digital PCR, Xie et al reported that combined quantification of miR-31 and miR-210 copy numbers in sputum provided 65.7% sensitivity and 85.0% specificity for the diagnosis of stage I NSCLC.⁷⁴ Based on this finding, very recently, Li et al reported that by combining miR-31 and miR-210 detection by RT-qPCR and computed tomography, specificity of NSCLC diagnosis was significantly improved.⁷⁵

Pleural fluid

In a recent study, Shen et al examined whether extracellular miRNAs, isolated from pleural fluid, which are deregulated in lung cancer (miR-134, miR-185, and miR-22) can serve as diagnostic markers for lung AD-associated malignant pleural effusion, which has a poor prognosis and is classified as stage IV disease.⁷⁶ By using RT-qPCR they found that the expression of all three miRNAs was significantly lower in LA-MPE than in benign pleural effusions ($P < 0.001$), and they suggest that the expression levels of these circulating extracellular miRNAs (miR-134, miR-185, and miR-22) in patients with pleural effusion may have diagnostic value when differentiating between lung AD-associated malignant pleural effusion and benign pleural effusions.⁷⁶

Exosomes, miRNAs, and lung cancer

The role of the miRNAs present in tumor-derived exosomes has been intensively exploited in human cancer as a very promising tool for diagnostic and prognostic purposes.⁷⁷ According to very recent findings, exosomes do play an important role, not only as vehicles in the intercellular communication, but also by transferring their content to nearby cells in a process in which they can specifically modulate the surrounding microenvironment, leading to tumor development and progression, which even affected therapy response.⁷⁸

There is intensive research on the elucidation of the role of miRNAs included in exosomes in NSCLC, since very little was known up to now. The significant difference in total exosome and miRNA levels between lung cancer patients and controls, and the similarity between the circulating exosomal miRNA and the tumor-derived miRNA patterns, has been shown already in 2009.⁷⁹ These results firstly suggested that circulating exosomal miRNA might be useful as a screening test for lung AD. In a very recent article, Frydrychowicz et al present a summary of information about the structure and origin of exosomes, and the importance of exosomes, and miRNAs in lung cancer.⁸⁰ Recently, Leidinger et al have separately analyzed the miRNome of different immune cell subtypes in lung cancer patients and healthy individuals. They have shown that cancer-specific miRNA expression patterns of whole blood samples are not determined by a single cell type and that additional blood components, like erythrocytes, platelets, or exosomes might contribute to the disease specificity of an miRNA signature.⁸¹ In another recent study, where the exosomes isolated from bronchoalveolar lavage in patients with lung neoplasm were investigated, it was

shown that more exosomes were detected in plasma than in bronchoalveolar lavage.⁸² Based on these studies, information in this field is rapidly growing now, and we strongly believe that information on this very specific topic could be the main theme of another literature review in the near future.

Conclusion

In conclusion, miRNAs have the potential to serve both as biomarkers and therapeutic agents in cancer, by personalizing diagnosis and therapy.⁸³ There is increasing evidence that altered miRNA expression is associated with tumor progression and survival in lung cancer patients. Recent data from multiple studies strongly support the potential of miRNAs as biomarkers in NSCLC. Expression profiles of miRNAs were able to distinguish tumors derived from different tissue origins, enabling classification of histologic subtypes of NSCLC. In particular, cell-free miRNAs circulating in body fluids like plasma and serum, today comprise very promising novel tumor biomarkers that will play a critical role in the clinical laboratory in the near future. miRNAs could play an important role for the design of innovative therapies for NSCLC, and by exploiting the unique characteristics of miRNAs, clinicians can come ever closer to achieving the goal of individualized cancer treatment. However, there is still a lot of work to be done before the establishment of miRNAs as biomarkers in the clinical laboratory, especially toward the standardization of analytical methodologies used, the inclusion of internal and external controls in each assay, and the consensus toward normalization of derived data.

Disclosure

The authors report no conflicts of interest in this work.

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